

AD-A082 324

CONTROL DATA CORP MINNEAPOLIS MINN
APPLICATIONS OF A RECONFIGURABLE ARRAY OF FLEXIBLE PROCESSORS I--ETC(U)
DEC 79 W R CYRE F30602-78-C-0065

F/G 9/2

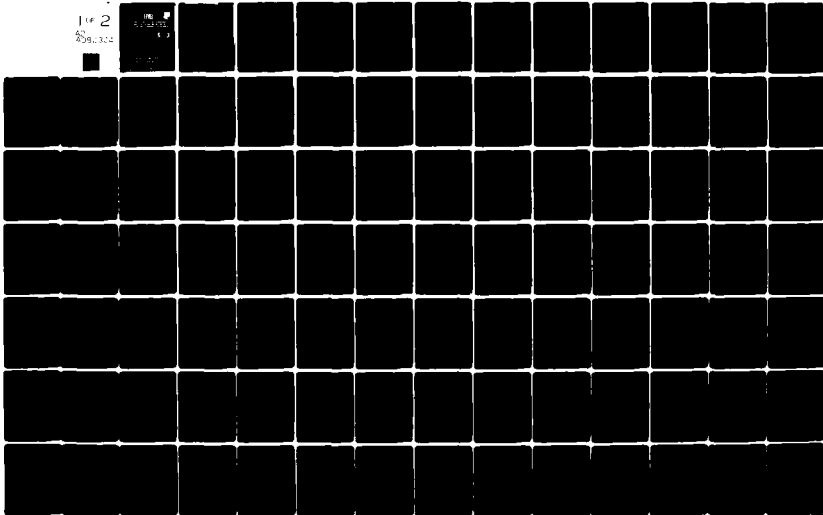
UNCLASSIFIED

RADC-TR-79-313

NL

1 of 2

AD-A082 324



ADDC-72-79-813
Final Technical Report
December 1979

LEVEL

II

12



APPLICATIONS OF A RECONFIGURABLE ARRAY OF FLEXIBLE PROCESSORS IN INTELLIGENCE INFORMATION RETRIEVAL

Control Data Corporation

Walling R. Cyre

DTIC
ELECTE
S MAR 26 1980 D

E

APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED

ROME AIR DEVELOPMENT CENTER
Air Force Systems Command
Griffiss Air Force Base, New York 13441

80 3 24 185

APPLICATIONS OF A RECONFIGURABLE ARRAY OF FLEXIBLE PROCESSORS

ADA082324

DDC FILE COPY

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

19 REPORT DOCUMENTATION PAGE		READ INSTRUCTIONS BEFORE COMPLETING FORM
1. REPORT NUMBER (18) RADCTR-79-313	2. GOVT ACCESSION NO.	3. RECIPIENT'S CATALOG NUMBER (9)
4. TITLE (and Subtitle) (6) APPLICATIONS OF A RECONFIGURABLE ARRAY OF FLEXIBLE PROCESSORS IN INTELLIGENCE INFORMATION RETRIEVAL	5. TYPE OF REPORT & PERIOD COVERED Final Technical Report, Jan 1978 - Apr 1979	
7. AUTHOR(s) (10) Walling R. Cyre	8. CONTRACT OR GRANT NUMBER(s) (15) F30602-78-C-0065	6. PERFORMING ORG. REPORT NUMBER N/A
9. PERFORMING ORGANIZATION NAME AND ADDRESS Control Data Corporation 2800 East Old Shakopee Road Minneapolis MN 55420	10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS (16) 62702F (17) 4594607	(17) 16
11. CONTROLLING OFFICE NAME AND ADDRESS Rome Air Development Center (IRDT) Griffiss AFB NY 13441	12. REPORT DATE Dec 1979	13. NUMBER OF PAGES (11) 114
14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office) Same	15. SECURITY CLASS. (of this report) UNCLASSIFIED	15a. DECLASSIFICATION/DOWNGRADING SCHEDULE N/A
16. DISTRIBUTION STATEMENT (of this Report) Approved for public release; distribution unlimited.		
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report) Same		
18. SUPPLEMENTARY NOTES RADC Project Engineer: John J. Maier (IRDT)		
19. KEY WORDS (Continue on reverse side if necessary and identify by block number) Document Retrieval Associative Processing Processor Array		
20. ABSTRACT (Continue on reverse side if necessary and identify by block number) The objective of this study was to investigate the applicability of a Reconfigurable Array of Flexible Processors, with enhanced word processing capabilities, to the problem of document retrieval. The work performed during the study falls into three categories. First, the intelligence information retrieval application at AFSC Foreign Technology Division (FTD), Wright-Patterson AFB OH was analyzed for characteristics which might serve as system design and performance evaluation measures. The		

DD FORM 1 JAN 73 1473

EDITION OF 1 NOV 65 IS OBSOLETE

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

016300

Free

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered)

cont.

analysis was conducted by reviewing the data base and system usage characteristics at FTD and by synthesizing and analyzing a small experimental data base. From this, several areas in which the Reconfigurable Array with an associative processing unit might be used to advantage were identified, and a number of system sizing parameters were found.

The second category of work included the design modification and fabrication of an exploratory model of an associative unit, and its integration with a laboratory Reconfigurable Array. The exploratory model has a 4-bit slice architecture of which 32 cells are populated. Each associative cell has 1024 bits of storage and a full arithmetic-logic unit. The exploratory model is microprogrammable to permit connection with different types of processors as hosts, and to relieve the control load on the host processor.

The third category of effort included the development and exercising of a demonstration document retrieval system using a Reconfigurable Array of Flexible Processors, the Exploratory Development Model Associative Unit, and the experimental data base. The demonstration system capabilities cover the majority of search functions used at FTD, and served as a tool for both system diagnostics and for performance evaluation.

The results of the evaluations performed during the study indicate that a Reconfigurable Array with a fully populated Associative Unit should perform searches about 200 times faster than the current system.

Accession For	
NTIS GRA&I	<input checked="" type="checkbox"/>
DDC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification _____	
By _____	
Distribution/ _____	
Availability Codes	
Dist	Avail and/or special
A	

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered)

TABLE OF CONTENTS

<u>Section</u>	<u>Title</u>	<u>Page</u>
1.0	INTRODUCTION	1-1
2.0	THE DOCUMENT RETRIEVAL APPLICATION	2-1
2.1	Document Retrieval at FTD	2-2
2.2	A Document Retrieval System Model	2-3
2.2.1	A Data Base Structure	2-6
2.2.2	Search Procedures	2-7
2.3	CIIO Data Base Characteristics	2-16
2.4	Characteristics of FTD Searches	2-17
2.5	An Experimental Document Retrieval Data Base	2-19
2.5.1	The Experimental Data Base Documents	2-21
2.5.2	Organization of the Experimental SA Data Base	2-22
2.5.3	Experimental Data Base Characteristics	2-26
2.5.4	Growth Characteristics	2-26
3.0	RECONFIGURABLE ARRAYS OF FLEXIBLE PROCESSORS	3-1
3.1	The Flexible Processor	3-1
3.1.1	Hardware and Software Description	3-2
3.1.2	Functional Units	3-2
3.1.3	Miscellaneous Hardware Capabilities	3-6
3.1.4	Software	3-7
3.2	The Ring Communication System	3-8
3.3	The Data Channel Controller	3-12
3.4	The Advanced Flexible Processor	3-14
3.4.1	The Crossbar Data Switch	3-14
3.4.2	The Processor Characteristics	3-14
3.4.3	Functional Unit Capabilities	3-17
3.4.4	Microinstruction Format	3-19
3.5	The Associative Add-On Unit	3-21
3.5.1	The Associative Processing Cells	3-21
3.5.2	The Response Network	3-27
3.5.3	The Controller	3-38

TABLE OF CONTENTS (CONT'D)

<u>Section</u>	<u>Title</u>	<u>Page</u>
4.0	A DEMONSTRATION DOCUMENT RETRIEVAL SYSTEM	4-1
4.1	General Description	4-1
4.2	Demonstration Capabilities	4-5
4.2.1	Search Commands	4-5
4.2.2	Read Commands	4-9
4.2.3	Document Display Commands	4-9
4.2.4	Search History Commands	4-9
4.3	Demonstration System Performance	4-9
5.0	CONCLUSIONS	5-1

LIST OF FIGURES

<u>Figure</u>	<u>Title</u>	<u>Page</u>
2-1	Search Operator Usage	2-2
2-2	Terms Per Search Statement	2-4
2-3	Search Statement Execution Time	2-5
2-4	Data Base Access Structure	2-9
2-5	A General Search Procedure	2-10
2-6	Two Procedures for Search Operations	2-13
2-7	PDF of Numbers of Occurrences (CIIIO Data Base)	2-18
2-8	PDF of Number of Occurrences (Actual Search Term)	2-20
2-9	Reproduction of Page 84 of <u>Scientific American</u> , October 1977	2-22
2-10	SA Data Base Document Format	2-23
2-11	SA DOCUMENT File Record Format	2-24
2-12	SA DICTIONARY File Record Format	2-25
2-13	SA OCCURRENCE File Record Format	2-27
2-14	PDF of Number of Occurrences (SA Data Base)	2-28
2-15	Dictionary Growth	2-29
2-16	Dictionary Growth Characteristics for Infrequent Terms	2-32
2-17	Dictionary Growth Characteristics for Frequent Terms ..	2-33
3-1	Data Path Organization in the CDC Flexible Processor ..	3-4
3-2	The Basic Ring Concept	3-9
3-3	The Ring Station	3-11
3-4	Data Channel Controller Organization	3-13
3-5	Basic Advanced Flexible Processor	3-15
3-6	AFP Instruction Formats	3-19
3-7	AFP Instruction Subfields	3-20
3-8	Organization of the Associative Unit	3-22
3-9	Associative Add-On Unit Interfacing	3-23
3-10	Associative Unit Cell Structure	3-25
3-11	Block Response Module	3-34
3-12	Response Collector Module	3-35
3-13	Rail Module	3-35

LIST OF FIGURES (CONT'D)

<u>Figure</u>	<u>Title</u>	<u>Page</u>
3-14	Bank Response Module	3-36
3-15	Memory Response Module	3-37
3-16	Controller Organization	3-39
3-17	Controller - Interface Connections	3-40
4-1	The Laboratory Array	4-2
4-2	Demonstration System Concept	4-3
4-3	Functional Allocation of the Laboratory Array	4-4
4-4	AU Loading Performance	4-14
4-5	AU Search Performance (OR Operation)	4-15
4-6	AU Search Performance (NOT Operation)	4-16
4-7	AU Search Performance (AND Operation)	4-17
4-8	AU Search Performance (ADJ Operation)	4-18
4-9	AU Search Performance (OR Operations)	4-19

LIST OF TABLES

<u>Table</u>	<u>Title</u>	<u>Page</u>
2-1	Files of the Model Data Base	2-8
2-2	Formulation of Search Operations	2-11
2-3	Computational Cost of Search Operations	2-15
2-4	SA Data Base Sizes	2-31
3-1	CDC Flexible Processor Characteristics	3-3
3-2	AFP Features (in text)	3-16
3-3	Functional Unit Capabilities	3-17
3-4	AU/HOST Interconnections	3-24
3-5	Cell Registers	3-26
3-6	Cell Buses	3-28
3-7	Cell Status Signals	3-29
3-8	Cell Operations	3-30
3-9	Status Conditions	3-41
3-10	Operational Modes	3-42
3-11	Interrogation Sequence	3-44
3-12	Controller Registers	3-45
3-13	Reprogram Sequence	3-46
3-14	Controller Register Operations	3-47
3-15	Program Memory Instruction Fields	3-50
4-1	Result List Examples	4-7
4-2	Two Search Examples	4-3
4-3	Read Examples	4-10
4-4	Document Display Example	4-11
4-5	Search History Example	4-12
4-6	Reconfigurable Array Performance Comparison	4-21

EVALUATION

The purpose of this effort was to demonstrate the feasibility of using an associative processor in conjunction with an array of microprogrammable processors for document retrieval. An experimental system was implemented and the retrieval of documents from a small data base was demonstrated. The results indicate that an array of processors with a fully populated associative unit should perform searches about 200 times faster than is achievable with current systems.

Such a system would be of extreme value in the intelligence community because of the very large data bases which must be searched. Also, the array of processors has been interfaced with a PDP-11 series computer. The AN/GYQ-21(V), which is the standard system for the intelligence community, is based upon PDP-11 computers.

Therefore, a full scale system, of the type demonstrated under this effort, could be utilized by intelligence agencies with minimal impact on existing hardware.

This effort is included in RADC TPO R1E, THRUST: INTEGRATED INTELLIGENCE SYSTEMS; SUBTHRUST: Intelligence Systems Concepts.

John J. Maier

JOHN J. MAIER
Project Engineer

1.0 INTRODUCTION

This report describes a study performed by the Information Sciences Division of Control Data Corporation for Rome Air Development Center under contract F30602-78-C-0065. The objective of the study was to investigate the applicability of a Reconfigurable Array of Flexible Processors, with enhanced word processing capabilities, to the problem of document retrieval for the assessment of foreign technology as performed by the AFSC Foreign Technology Division, Wright-Patterson AFB, Ohio.

The work performed during the study falls into three categories. First, the intelligence information retrieval application at FTD was analyzed for characteristics which might serve as system design and performance evaluation measures. The analysis was conducted by reviewing the data base and system usage characteristics at FTD and by synthesizing and analyzing a small experimental data base. From this, several areas in which the Reconfigurable Array with an associative processing unit might be used to advantage were identified, and a number of system sizing parameters were found.

The second category of work included the design modification and fabrication of an exploratory model of an associative unit, and its integration with a laboratory Reconfigurable Array. The exploratory model has a 4-bit slice architecture of which 32 cells are populated. Each associative cell has 1024 bits of storage and a full arithmetic-logic unit. The exploratory model is microprogrammable to permit connection with different types of processors as hosts, and to relieve the control load on the host processor.

The third category of effort included the development and exercising of a demonstration document retrieval system using a Reconfigurable Array of Flexible Processors, the Exploratory Development Model Associative Unit, and the experimental data base. The demonstration system capabilities cover the majority of search functions used at FTD, and served as a tool for both system diagnostics and for performance evaluation.

The results of the evaluations performed during the study indicate that a Reconfigurable Array with a fully populated Associative Unit should perform searches about 200 times faster than the current system.

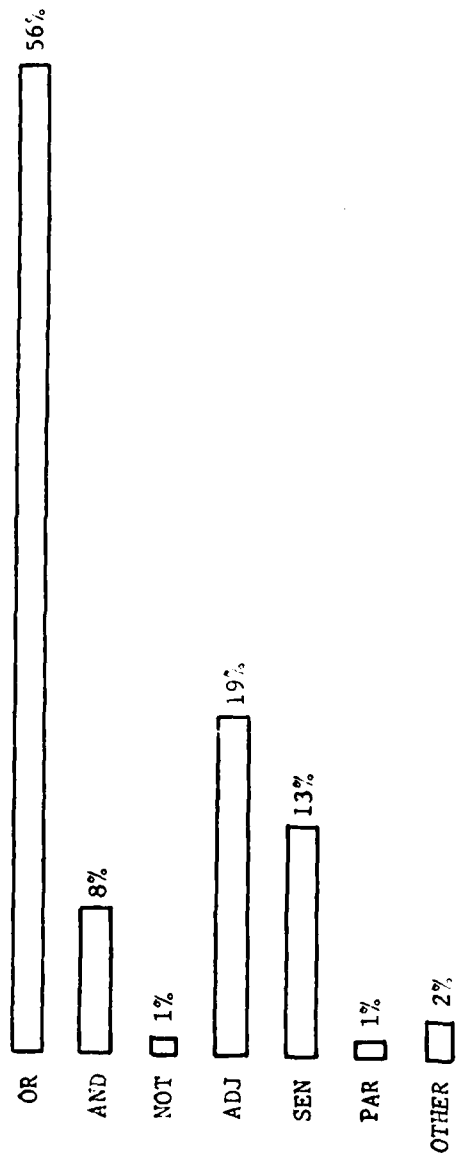
2.0 THE DOCUMENT RETRIEVAL APPLICATION

This section presents the results of an analysis of the document retrieval problem related to intelligence activities. More specifically, the document retrieval system at AFSC Foreign Technology Division (FTD) was investigated to characterize system requirements. Necessarily, the scope of the investigation had to be limited, so the review of the FTD system was performed with respect to a basic set of search operations and a simplified data base structure. In addition, the data base analysis was restricted to the CIIO portion of the data base. In order to determine the growth pattern of the data base, a small experimental data base was also compiled and employed for the demonstrations described in Section 4.0. Where comparison between the CIIO data base and the experimental data base was possible, correspondence was found to be good.

2.1 DOCUMENT RETRIEVAL AT FTD

The AFSC Foreign Technology Division maintains a set of large data bases built on foreign literature in science and technology. A primary use of this system is to support intelligence analysts in the assessment of foreign technology. The analysis performed during this study was limited to the CIIO data base which contains references or documents which are two to five years old.

The retrieval mode investigated in this study was the search mode, wherein an intelligence analyst can form a search expression, a set of words or codes with logical connectives, and retrieve the identification numbers of all documents which satisfy that search expression. Some of the logical connectives or operators which may be used to formulate search statements (expressions) are listed in Figure 2-1 with indications of their relative frequencies of use during 1977. The OR operator in an expression such as "MICROWAVE OR RADAR" retrieves identifiers for documents containing either "MICROWAVE" or "RADAR". The AND operator returns identifiers for only those documents containing both terms. The ADJ operator requires that the two specified search terms appear adjacent in the documents, and in the specified order. The AND operation can be further restricted such that both terms must be in the same paragraph (PAR) or



D4146

Figure 2-1. Search Operator Usage

the same sentence (SEN). The number of terms used to form a search expression is indicated in Figure 2-1. The average terms per search is 2.4 terms, implying an average of 1.4 connective operations per expression.

Another important and frequently used feature of the FTD system is the ability to use truncated search terms. For example, with the expression "RADAR AND TARGET\$" the system finds the identifiers of documents containing any word beginning with "TARGET" before restricting the search to documents also containing the word "RADAR". The truncation can be bounded to a desired number of characters by specifying \$n, where n is the number of characters. For example, "TARGET\$2" includes "TARGETS" and "TARGETED", but excludes "TARGETING."

The performance of the FTD system is indicated in Figure 2-3. While the greatest fraction (22%) of searches is executed in less than two seconds, some searches require over 15 minutes for completion. The average execution time for a search was 35.8 seconds during 1979. With an average of 1.4 operations per expression, this implies that search operations are completed in an average of 25.6 seconds each. Later sections of this report describe a method by which a Reconfigurable Array of Flexible Processors and an Associative Memory can lead to dramatic improvements in the system performance.

In the following subsection, the structure of the data base and search procedures for document retrieval are examined in greater detail.

2.2 A DOCUMENT RETRIEVAL SYSTEM MODEL

The document retrieval system model described here is a highly simplified form of the FTD system, but exposes the fundamental system elements which affect performance. The model has two parts: a data base structure and a set of search procedures.

the same sentence (SEN). The number of terms used to form a search expression is indicated in Figure 2-1. The average terms per search is 2.4 terms, implying an average of 1.4 connective operations per expression.

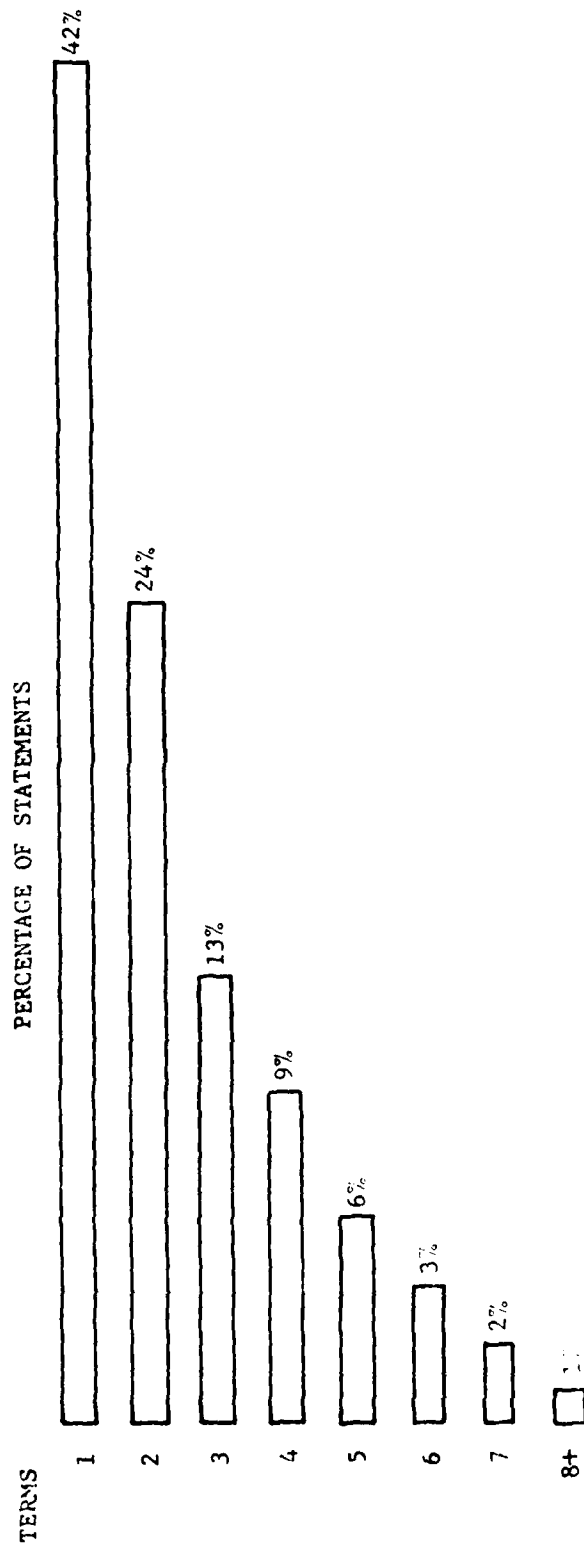
Another important and frequently used feature of the FTD system is the ability to use truncated search terms. For example, with the expression "RADAR AND TARGET\$" the system finds the identifiers of documents containing any word beginning with "TARGET" before restricting the search to documents also containing the word "RADAR". The truncation can be bounded to a desired number of characters by specifying \$n, where n is the number of characters. For example, "TARGET\$2" includes "TARGETS" and "TARGETED", but excludes "TARGETING."

The performance of the FTD system is indicated in Figure 2-3. While the greatest fraction (22%) of searches is executed in less than two seconds, some searches require over 15 minutes for completion. The average execution time for a search was 35.8 seconds during 1979. With an average of 1.4 operations per expression, this implies that search operations are completed in an average of 25.6 seconds each. Later sections of this report describe a method by which a Reconfigurable Array of Flexible Processors and an Associative Memory can lead to dramatic improvements in the system performance.

In the following subsection, the structure of the data base and search procedures for document retrieval are examined in greater detail.

2.2 A DOCUMENT RETRIEVAL SYSTEM MODEL

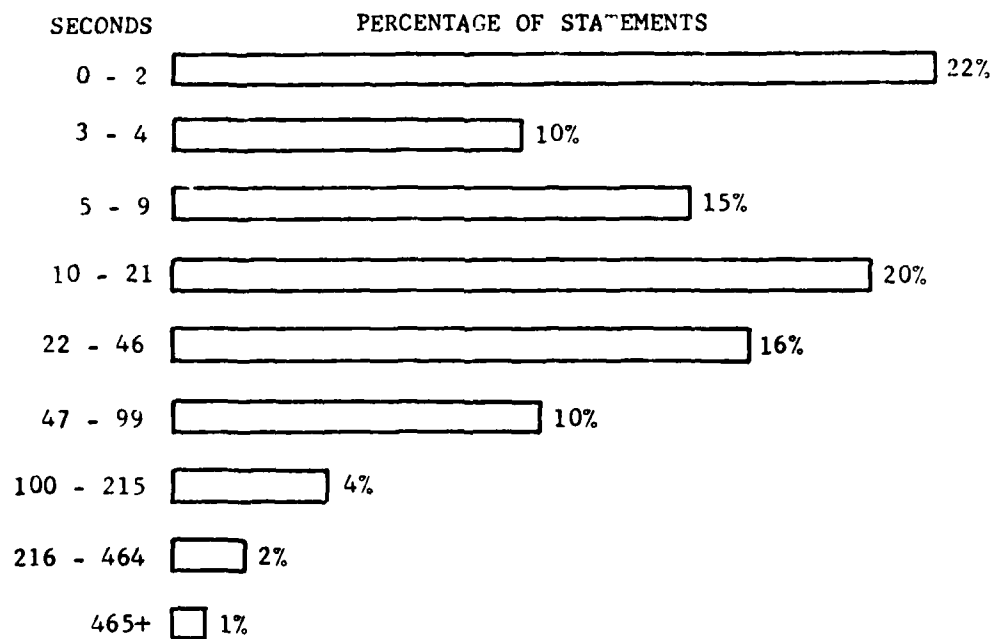
The document retrieval system model described here is a highly simplified form of the FTD system, but exposes the fundamental system elements which affect performance. The model has two parts: a data base structure and a set of search procedures.



AVERAGE TERMS: PER STATEMENT

D414.

Figure 2-2. Terms Per Search Statement



AVERAGE EXECUTION TIME: 35.8 SECONDS PER STATEMENT

D4144

Figure 2-3. Search Statement Execution Time

2.2.1 A Data Base Structure

The foundation of the document retrieval data base is a set of documents. The set of documents is formulated into a TEXT file in which each document occupies a variable length record. Each document record has two fields, the first of which is the document identification number, and the second is the string of words of the document. Each document WORD is a character string delimited by blanks (spaces). Each word of a document can be identified by its document number (DN) and its word sequence number (WN). Thus, the two instances of the article A in the sentence fragment "A SHIP OR A BOAT" of the TEXT file are distinct and identifiable.

A second major file of the data base is the DICTIONARY file. Every record of the DICTIONARY contains a distinct character string or dictionary TERM which is represented at least once as a WORD in the TEXT file. Each DICTIONARY record has two other fields. One is the number of occurrences, NOC, of the TERM in the TEXT, and the second is an address for an OCCURRENCE LIST for the document number, word number pairs (DN, WN) identifying all occurrences of the TERM as a WORD in the TEXT file. The third major file is the OCCURRENCE FILE, whose records are the varying-length occurrence lists of the dictionary terms.

The general procedure for retrieving the documents containing a specified term is to look up the term in the DICTIONARY to find its occurrence list which in turn yields the desired document numbers. To facilitate the dictionary lookup operation, the dictionary is segmented or partitioned into a mutually exclusive set of subfiles or segments. The fourth and final file of the data base is the dictionary SEGMENT TABLE which serves as a hash table to enter the dictionary. For current purposes, each segment table record consists of a KEY and the address of a dictionary segment. In the FTD system, the key is a pair of characters, and every possible pair is represented in the table. The table entries are stored by the character codes so the table address may be computed rather than looked up.

A summary of the data base files appears in Table 2-1. The access structure for the data base is unidirectional, via the SEGMENT TABLE, to the DICTIONARY, the OCCURRENCE file, and the TEXT file. This access structure is illustrated in Figure 2-4. The characteristics of the CIIIO data base at FTD are interpreted with respect to this data base model in a later subsection of this report.

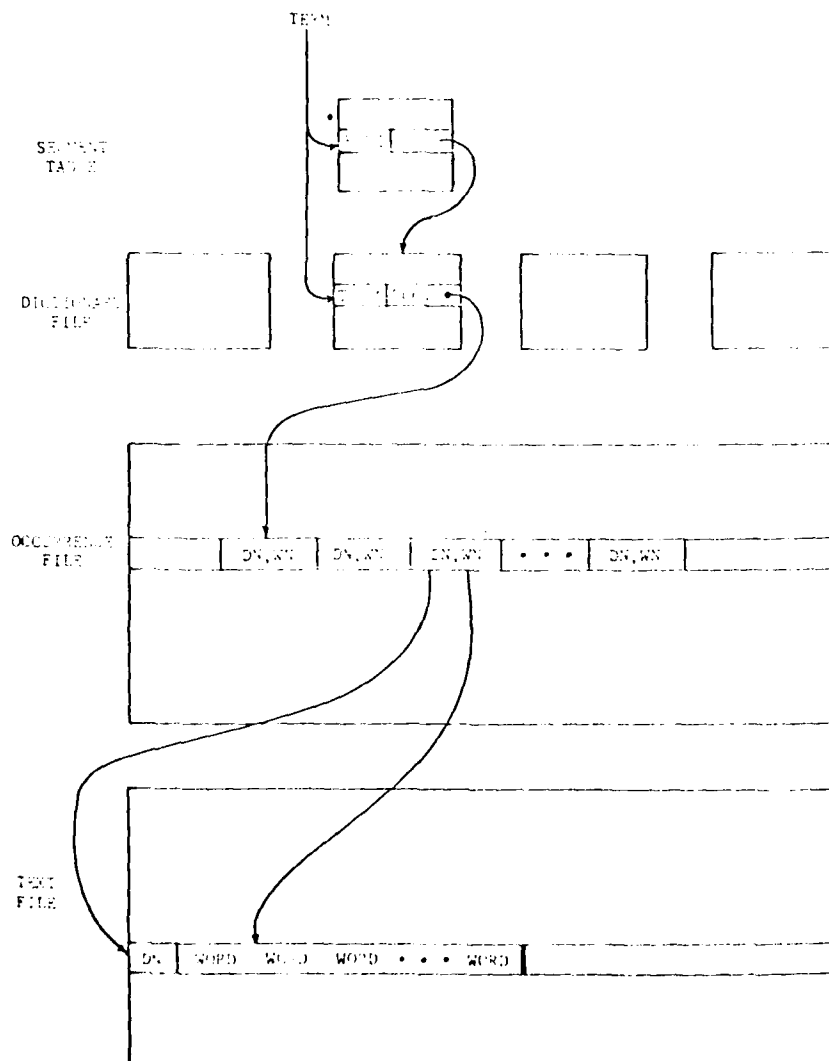
2.2.2 Search Procedures

A general procedure for document retrieval in the data base described in the previous subsection is illustrated in Figure 2-5. First, the search statement (search expression) must be decomposed or passed to identify the search terms and search operations. Next, the data base access structure is traced through the SEGMENT TABLE, DICTIONARY FILE, and OCCURRENCE FILE to retrieve an occurrence list for each search term. Note that a truncated search term will require retrieval of a set of occurrence lists. Then, the occurrence lists are operated on by the search operations to produce a result occurrence list, which may then be reduced to extract a list of identifiers for documents satisfying the search expression. The result occurrence list is necessary to allow the result of a search expression to be used as a pseudo search term for a subsequent search expression as is allowed in the FTD document retrieval system. In this general search procedure, the execution of the search operations imposes the greatest load on the computational resources, and warrants closer examination.

For the document retrieval model here, occurrence lists are viewed as sets of document number, word number pairs so that search operations can be formulated in set theoretic notions. Although the occurrence lists of the FTD system are ordered sets, this ordering restriction is not imposed in the model in order to preserve greater generality. The formulations of the search operations indicated in Figure 2-1 are given in Table 2-2. In the formulation of the search operations, AND, OR, and NOT directly correspond with union, intersection, and set difference respectively. Adjacency, ADJ, corresponds with set intersection, but the word numbers in the elements for

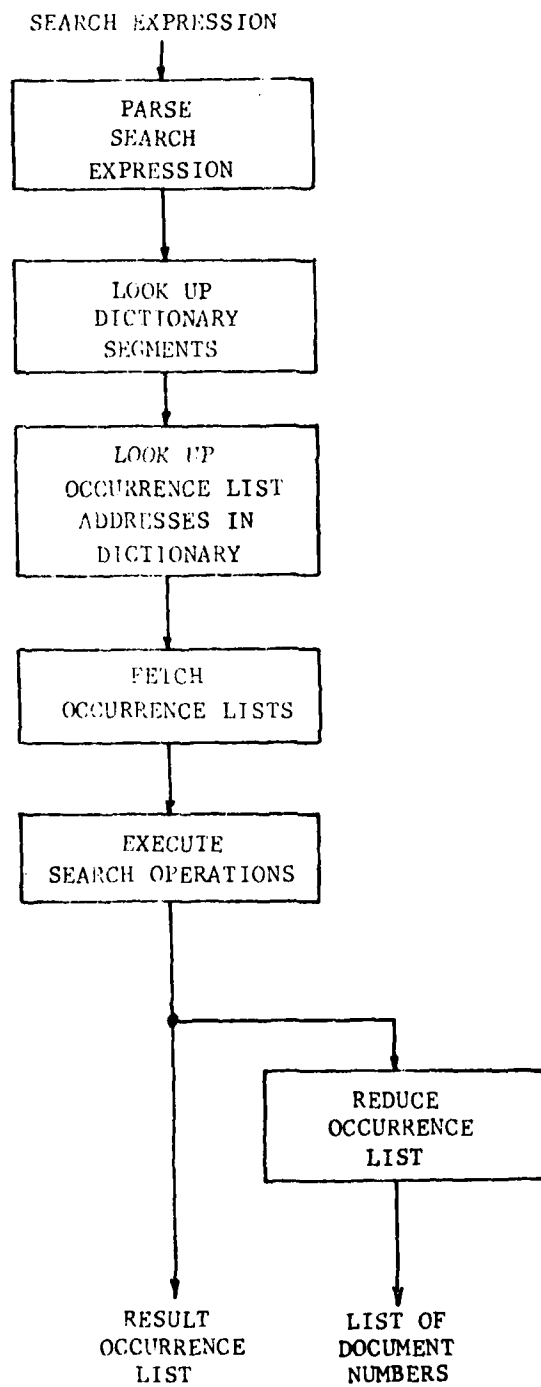
TABLE 2-1. FILES OF THE MODEL DATA BASE

FILE NAME	RECORD LENGTH	RECORD FIELDS		
		NAME	USE	EXAMPLE
TEXT	Varies	DN	Document Number	266
		DOCUMENT	Text	Side-Looking Air-Borne Radar: A Radar Antenna Mounted on . . .
DICTIONARY	Fixed	TERM	Character String	Radar
		NOC	Number of Occurrences	
		AD	Occurrence List Address	
OCCURRENCE	Varies	(DN,WN)	(Document Number, Word Number)	(266,3), (266,5)
SEGMENT TABLE	Fixed	KEY	Segmentation Key	RA
		SAD	Segment Address	



D4 16

Figure 2-4. Data Base Access Structure



D5038

Figure 2-5. A General Search Procedure

TABLE 2-2. FORMULATION OF SEARCH OPERATIONS

SEARCH OPERATOR	SET OPERATION	DEFINITION OF RESULT
OR	Union	$C = \{(d,w) \mid (d,w) \in A \text{ or } (d,w) \in B\}$
AND	Intersection	$C = \{(d,w) \mid (d,w) \in A \text{ and } (d,w) \in B\}$
NOT	Difference	$C = \{(d,w) \mid (d,w) \in A \text{ or } (d,w) \notin B\}$
ADJ	Intersection	$C = \{(d,w) \mid (d,w+1) \in A \text{ and } (d,w) \in B\}$
SEN	Intersection	$C = \{(d,s) \mid (d,s) \in A \text{ and } (d,s) \in B\}$
PAR	Intersection	$C = \{(d,p) \mid (d,p) \in A \text{ and } (d,p) \in B\}$

A - occurrence list of left search term

B - occurrence list of right search term

C - result occurrence list

(d,w) - document number, word number pair

s - sentence number

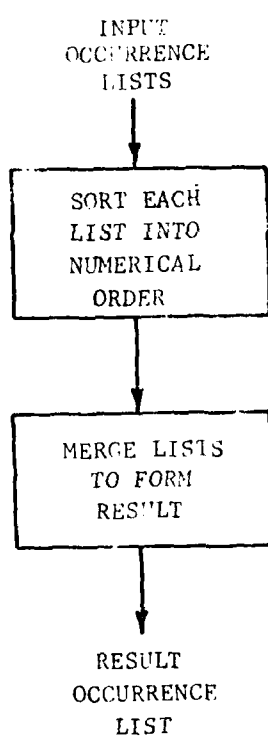
p - paragraph number

the search term to the left of the operator must be incremented before intersecting the occurrence lists. Although the SEN and PAR are not supported by sentence and paragraph numbers of text words in the model data base, they could be treated as indicated in Table 2-2. With respect to the use of truncated terms as allowed by the FTD, the occurrence list corresponding to a truncated term is merely the cumulative union (OR) of occurrence lists for the dictionary terms included under the truncation.

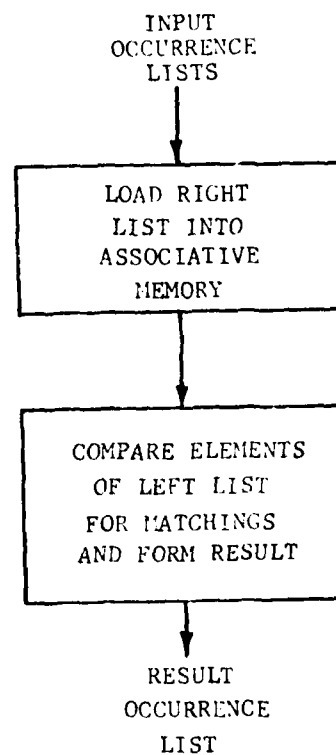
In further examining the operations listed in Table 2-2, it may be noted that the set operations require only the detection of matching elements in the operand sets. For Union, the result occurrence list is one of the operand lists plus the second operand list with matches deleted from it. For intersection, the result consists of only the matching elements, and the difference (NOT) may be formed by deleting matching elements from the occurrence list for the left search term.

A procedure for executing these search operations or matchings depends on the nature of the available hardware. Two approaches are mentioned here, one of which employs an associative memory. These approaches are illustrated in Figure 2-6. For the conventional approach, the occurrence lists are first sorted into numerical order where (DN,WN) is treated as a single number. This step may be avoided if the occurrence lists are ordered sets as is the case at FTD. Before the sorting, the word numbers of the left occurrence list will have to be incremented if the ADJ operation has been specified. The second step of the conventional procedure involves the merge of the sorted files. During the merge, matches will be detected and only the derived elements need be saved for the result occurrence list. The result list will be sorted as a consequence of this approach so resorting will not be required if it is used in a subsequent operation.

The computational cost of the conventional approach is proportional to $(n_1 \log_2 n_1 + n_2 \log_2 n_2)$ where n_1 and n_2 are the numbers of elements in the occurrence lists. Most of this cost is due to the sort (Mergesort) which has a cost n_i proportional to $n_i \log_2 n_i - n_i$ for each list. The merge operation



PROCEDURE FOR
CONVENTIONAL
HARDWARE



PROCEDURE FOR
ASSOCIATIVE
MEMORY

D-039

Figure 2-6. Two Procedures for Search Operations

is proportional to $n_1 + n_2$. The computational cost is strongly influenced by whether the occurrence lists are ordered or not. If the lists are unordered, the OR operation is trivial, since no distinct terms can occupy the same word position in the same document. Thus, the operand sets are mutually exclusive and no matching needs to be looked for. With ordered lists, the result must also be ordered so that the OR operation requires a merge of the operand lists to assure ordering in the result list.

To assume the existence of an Associative Memory to support the search operation, a different approach must be considered. One property of an associative memory is that it allows a bit pattern to be compared with a set of bit patterns stored in the memory as a single operation. Further, the stored bit patterns need not be ordered. When such a comparison is made, the output of the memory indicates if any matches occurred. The associative procedure then requires that one occurrence list be stored in the associative memory. Then, each element of the other list is compared with the contents of the associative memory. Since ordering of the lists is not required, the OR operation is trivial, requiring only the concatenation of the occurrence lists. The computational cost for the other operations is proportional to the sum of elements of the operand lists n_1 and n_2 , with n_2 operations to load the associative memory and n_1 comparisons to execute the search.

A summary of the computational cost of these procedures is presented in Table 2-3. As indicated, the associative memory offers the lowest total cost based on the relative frequencies of operation usage given in Figure 2-1. Note that the ADJ, SEN, and PAR search operations have been included with the AND operation because of their similarities. As will be seen in later sections, after greater hardware detail is considered, the performance improvement with associative memory is more dramatic than indicated by Table 2-3.

An associative memory unit offers advantages in other areas of the document retrieval problem. One area is the segment table look-up task. The FTD system uses the numeric code for the first two letters of a term as an

TABLE 2-3. COMPUTATIONAL COSTS OF SEARCH OPERATIONS

SEARCH OPERATION	FREQUENCY	CONVENTIONAL HARDWARE		ASSOCIATIVE HARDWARE
		Unordered	Ordered	
OR	.56	--	n	--
AND*	.40	$n \log_2 n$	n	n
NOT	.01	$n \log_2 n$	n	n
TOTAL COST	.97	$.41 n \log_2 n$.97n	.41n

n total elements in both operand occurrence lists

* includes the ADJ, SEN, and PAP operations

address in the segment table. This not only results in a large table with vacant entries (such as for the letter pair QZ) but also imposes an uneven segmentation of the dictionary leading to uneven look-up times and complication in the management of the DICTIONARY file when new terms are added. By storing the segment table in an associative memory, any number of first characters can be used as the key. A comparison is made for the first (lexicographically) entry and its associated segment address pointer is read out. This allows all segments to have about the same size through choice of the individual keys. The number of dictionary segments is equal to the number of associative memory words.

Another use of an associative memory which should prove quite effective is in supporting dynamic relocation or paging of dictionary segments and occurrence lists among levels in a memory hierarchy. For example, the terms having the greatest current interest to intelligence analysts might be stored in a fast-access memory medium.

Before going into further detail on the mechanics of a document retrieval system, some of the characteristics of the data bases which affect the system design are explored in the following paragraph.

2.3 CIIO DATA BASE CHARACTERISTICS

FTD has several data bases to support its assessment of foreign technology and other intelligence activities. Of these, the CIIO data base founded on unclassified documents acquired two to five years ago was selected as an example document retrieval data base for this study.

The number of documents covered by the CIIO data base is limited by the system capacity, and, thus, is relatively constant. The data base is updated weekly, but twice a year a subset of documents is dropped to accommodate the updating. The CIIO data base characteristics described here were derived from a listing of the dictionary terms and corresponding numbers of occurrences (postings) for the CIIO data base as it was in September, 1977.

Two dictionary term lists for September, 1977 were supplied in microfiche form by FTD. In one list, the terms were ordered according to their numbers of occurrences. The second list was lexicographically ordered. The lists showed that the dictionary had 2,113,651 terms of which 1,145,793 appeared only once as words in the document text (had single occurrences). The most frequently occurring term had 1,367,781 occurrences. The occurrence-ordered term list was sampled to obtain a distribution of occurrence list lengths, and from this the probability density function of Figure 2-7 was calculated. Figure 2-7 shows the probability, $P_r \{n\}$, that a randomly selected term of the CIIO dictionary has n occurrences in the document texts. (The dots indicate the sampling of the dictionary to obtain the occurrence list length distribution.) Equation 2-1 provides a simple expression (a straight line on the log - log plot) for the trend shown in Figure 2-7.

$$Pr \{n\} = 0.542 \times n^{-1.826} \quad (2-1)$$

Based on Equation 2-1, the average number of occurrences is only 33.6 even though over a thousand terms have more than 10,000 occurrences. Equation 2-1 also predicts that the document text file contains over 71 million words. Also, since the occurrence file contains an entry for each document word, the occurrence file must allow 71 million entries.

2.4 CHARACTERISTICS OF FTD SEARCHES

The search operations on the occurrence lists of search terms represent a heavy computational load on the FTD system. This is not apparent if it is assumed that search terms used by the intelligence analysts are uniformly distributed over the dictionary (equivalent to randomly selected). Random selection indicates that the average occurrence list for search terms should have 33 entries and that 98% of the search terms should have fewer than 70 occurrences.

To investigate the occurrence list lengths of actual search terms, an audit for terminal activities for the CIIO data base was obtained from FTD

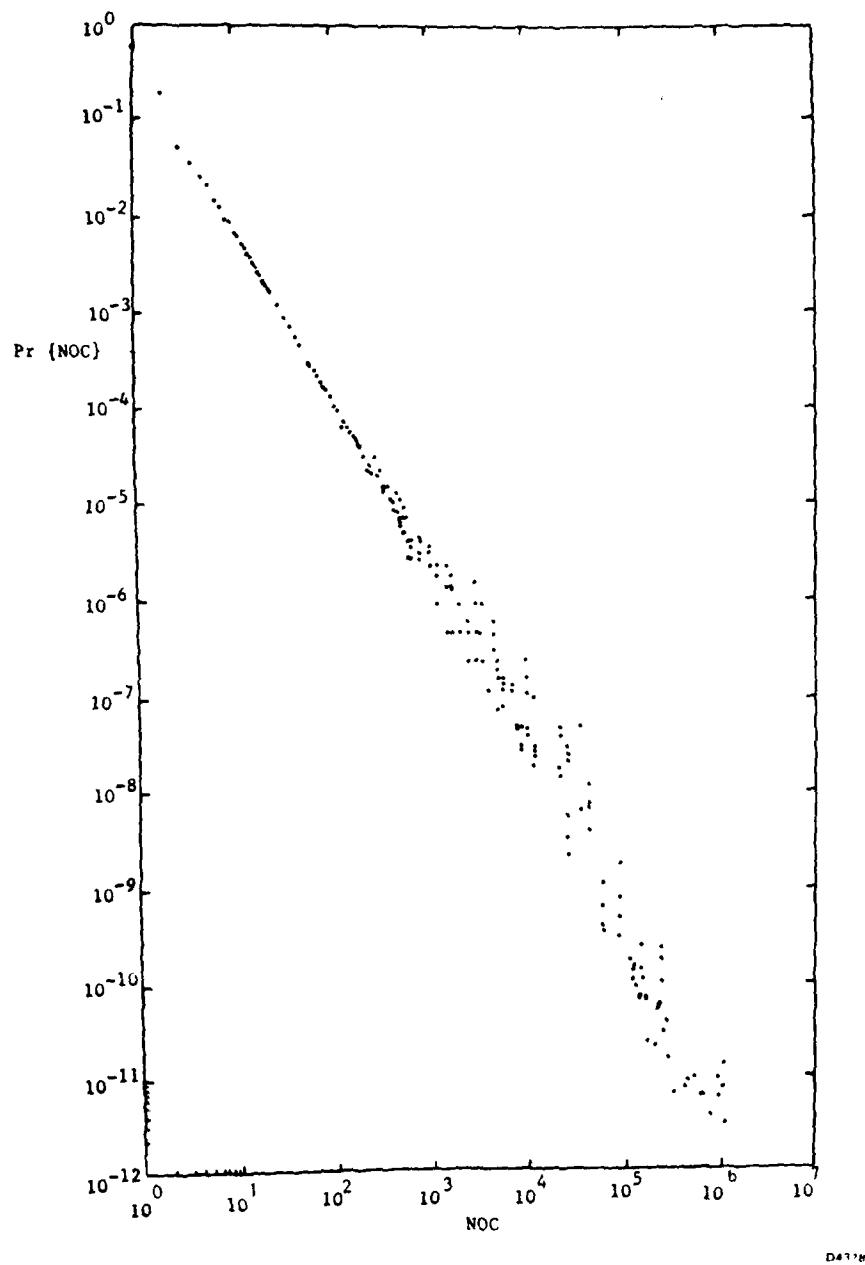


Figure 2-7. PDF of Number of Occurrences (CIIO Data Base)

on magnetic tape. This data was scanned to obtain a sample of 378 search terms used by analysts. Each of these terms was looked up manually in a lexicographically ordered dictionary term list to determine their occurrence list sizes. The search terms sampled included 138 truncated terms which covered 509 dictionary entries with an average of 3.7 dictionary terms per truncation. Of the 378 search terms, 57 had no dictionary entries.

The distribution of occurrence list lengths for actual search terms (including those covered by truncations) is illustrated by the probability density function of Figure 2-8. Comparison of Figures 2-7 and 2-8 shows that low occurrence dictionary terms are used relatively infrequently as search terms. The average number of occurrences per search term is 2905 occurrences per term, a substantial difference from the 33.6 occurrences per dictionary term. Further, only 64% of the search terms have fewer than 70 occurrences where 98% of the dictionary terms have fewer than 70 occurrences.

The distribution of occurrence list lengths for terms used by intelligence analysts indicates that the computational load due to search operations is much greater than might be expected from considering the dictionary characteristics alone. This difference in load is, in fact, nearly two orders of magnitude. For the associative memory approach, the implications are that the memory must be relatively large, and the advantages of the associative memory will be magnified.

2.5 AN EXPERIMENTAL DOCUMENT RETRIEVAL DATA BASE

An experimental data base was constructed during the work reported on here to serve as an object for studying data base characteristics and as the basis of a demonstration document retrieval system employing a reconfigurable array of processors. The small size of the experimental data base permitted many experiments to be performed on it, allowing close inspection of its growth characteristics.

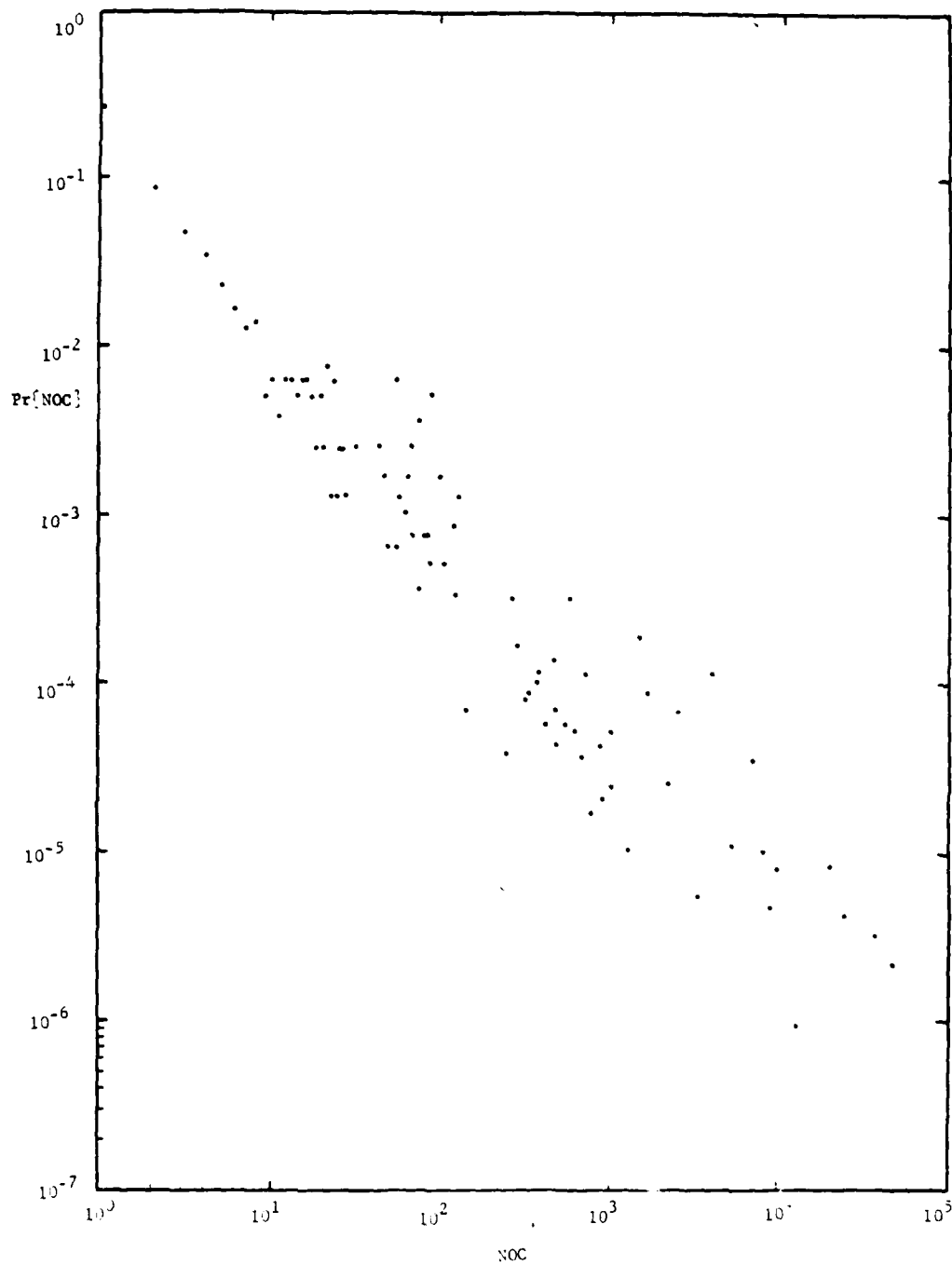


Figure 2-8. PDF of Number of Occurrences (Actual Search Terms)

2.5.1 The Experimental Data Base Documents

The documents of the FTD CIIO data base consist of unclassified scientific and technical literature from foreign sources. This implies broad coverage of many scientific and technical disciplines. In order that the experimental data base be comparable with the CIIO data base, the documents of the experimental data base were selected from Scientific American magazine, and so that many documents could be included, only extracts from the Scientific American articles were used. A document of the experimental data base, called the SA data base, consisted of the title, the publication date, the last names of the authors, and the 25 to 40-word summary on the first page of the printed article. For example, Figure 2-9 is a reproduction of page 84 from the October, 1977, issue of Scientific American (SA), and Figure 2-10 shows the extracted information, formatted as a document in the experimental data base. For the experimental SA data base, 192 documents from the January 1976 through December 1977 issues of Scientific American were used.

2.5.2 Organization of the Experimental SA Data Base

The structure used for the experimental data base is basically that shown in Figure 2-4. Some additional information was carried in the various files to support certain experiments. The records (documents) of the DOCUMENT file have the format shown in Figure 2-11. Document words are delimited by blanks, and the valid character set was restricted to the alpha- numerics, the hyphen, and the apostrophe. All other characters were considered to be blanks. As in the FTD system, the dictionary is segmented on the first two letters of the dictionary terms.

The record format for the DICTIONARY file is illustrated by Figure 2-12. Each dictionary term was assigned a unique identification number (WC). All terms were truncated to their first 16 characters, and because of the small size of the SA data base, no ambiguities were introduced. Each dictionary record includes counts of the number of occurrences (NOC) of the term and the number of characters in the term (NC). The final field of the record can have

Side-looking Airborne Radar

A radar antenna mounted on the belly of an aircraft and aimed to the side can record microwave images of terrain in striking detail, regardless of the weather or the time of day or night

by Homer Jensen, L. C. Graham, Leonard J. Porcello and Emmett N. Leith

The first aerial images of the earth's surface, made as an aid to topographic mapping and military reconnaissance, were crude photographs taken from balloons in the middle of the

the microwave wavelengths of between one centimeter and 30 centimeters.

Radar systems provide their own illumination, and microwaves of certain frequencies are little affected by the at-

spot. The image of each scan is retained by the cathode-ray tube so that a picture is continuously maintained.

Experience has shown that most of the images made by circular-scan radar

Figure 2-9. Reproduction of Page 84 from Scientific American, October 1977

2205	OR THE TIME OF DAY OR NIGHT
2205	TERMINAL IN FIRING DEADLINE, REGARDS OF THE WAVE
2205	AND APPLIED TO THE SIDE CAN RECORD THE WAVE
2205	A LARAR ANTENNA MOUNTED ON THE BELLY OF AN AIRCRAFT
2601	SIDE-LARKIN, AIRBORNE, RADAR
260A	7210 JESSE WAVE AN FUGITIVE LARKIN

10474

Figure 2-10. SA Data Base Document Format

10		11		12		13		14		15		16		17		18		19		20		21		22		23		24		25		26		27		28		29		30		31		32		33		34		35		36		37		38		39		40		41		42		43		44		45		46		47		48		49		50		51		52		53		54		55		56		57		58		59		60		61		62		63		64		65		66		67		68		69		70		71		72		73		74		75		76		77		78		79		80		81		82		83		84		85		86		87		88		89		90		91		92		93		94		95		96		97		98		99		100		101		102		103		104		105		106		107		108		109		110		111		112		113		114		115		116		117		118		119		120		121		122		123		124		125		126		127		128		129		130		131		132		133		134		135		136		137		138		139		140		141		142		143		144		145		146		147		148		149		150		151		152		153		154		155		156		157		158		159		160		161		162		163		164		165		166		167		168		169		170		171		172		173		174		175		176		177		178		179		180		181		182		183		184		185		186		187		188		189		190		191		192		193		194		195		196		197		198		199		200		201		202		203		204		205		206		207		208		209		210		211		212		213		214		215		216		217		218		219		220		221		222		223		224		225		226		227		228		229		230		231		232		233		234		235		236		237		238		239		240		241		242		243		244		245		246		247		248		249		250		251		252		253		254		255		256		257		258		259		260		261		262		263		264		265		266		267		268		269		270		271		272		273		274		275		276		277		278		279		280		281		282		283		284		285		286		287		288		289		290		291		292		293		294		295		296		297		298		299		300		301		302		303		304		305		306		307		308		309		310		311		312		313		314		315		316		317		318		319		320		321		322		323		324		325		326		327		328		329		330		331		332		333		334		335		336		337		338		339		340		341		342		343		344		345		346		347		348		349		350		351		352		353		354		355		356		357		358		359		360		361		362		363		364		365		366		367		368		369		370		371		372		373		374		375		376		377		378		379		380		381		382		383		384		385		386		387		388		389		390		391		392		393		394		395		396		397		398		399		400		401		402		403		404		405		406		407		408		409		410		411		412		413		414		415		416		417		418		419		420		421		422		423		424		425		426		427		428		429		430		431		432		433		434		435		436		437		438		439		440		441		442		443		444		445		446		447		448		449		450		451		452		453		454		455		456		457		458		459		460		461		462		463		464		465		466		467		468		469		470		471		472		473		474		475		476		477		478		479		480		481		482		483		484		485		486		487		488		489		490		491		492		493		494		495		496		497		498		499		500		501		502		503		504		505		506		507		508		509		510		511		512		513		514		515		516		517		518		519		520		521		522		523		524		525		526		527		528		529		530		531		532		533		534		535		536		537		538		539		540		541		542		543		544		545		546		547		548		549		550		551		552		553		554		555		556		557		558		559		560		561		562		563		564		565		566		567		568		569		570		571		572		573		574		575		576		577		578		579		580		581		582		583		584		585		586		587		588		589		590		591		592		593		594		595		596		597		598		599		600		601		602		603		604		605		606		607		608		609		610		611		612		613		614		615		616		617		618		619		620		621		622		623		624		625		626		627		628		629		630		631		632		633		634		635		636		637		638		639		640		641		642		643		644		645		646		647		648		649		650		651		652		653		654		655		656		657		658		659		660		661		662		663		664		665		666		667		668		669		670		671		672		673		674		675		676		677		678		679		680		681		682		683		684		685		686		687		688		689		690		691		692		693		694		695		696		697		698		699		700		701		702		703		704		705		706		707		708		709		710		711		712		713		714		715		716		717		718		719		720		721		722		723		724		725		726		727		728		729		730		731		732		733		734		735		736		737		738		739		740		741		742		743		744		745		746		747		748		749		750		751		752		753		754		755		756		757		758		759		760		761		762		763		764		765		766		767		768		769		770		771		772		773		774		775		776		777		778		779		780		781		782		783		784		785		786		787		788		789		790		791		792		793		794		795		796		797		798		799		800		801		802		803		804		805		806		807		808		809		810		811		812		813		814		815		816		817		818		819		820		821		822		823		824		825		826		827		828		829		830		831		832		833		834		835		836		837		838		839		840		841		842		843		844		845		846		847		848		849		850		851		852		853		854		855		856		857		858		859		860		861		862		863		864		865		866		867		868		869		870		871		872		873		874		875		876		877		878		879		880		881		882		883		884		885		886		887		888		889		890		891		892		893		894		895		896		897		898		899		900		901		902		903		904		905		906		907		908		909		910		911		912		913		914		915		916		917		918		919		920		921		922		923		924		925		926		927		928		929		930		931		932		933		934		935		936		937		938		939		940		941		942		943		944		945		946		947		948		949		950		951		952		953		954		955		956		957		958		959		960		961		962		963		964		965		966		967		968		969		970		971		972		973		974		975		976		977		978		979		980		981		982		983		984		985		986		987		988		989		990		991		992		993		994		995		996		997		998		999		1000		1001		1002		1003		1004		1005		1006		1007		1008		1009		1010		1011		1012		1013		1014		1015		1016		1017		1018		1019		1020		1021		1022		1023		1024		1025		1026		1027		1028		1029		1030		1031		1032		1033		1034		1035		1036		1037		1038		1039		1040		1041		1042	
----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	-----	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--	------	--

					} DICTIONARY RECORD
WC	NOC	TERM	NC	AL	

WC - Term Identification Number

NOC - Number of Occurrences

TERM - First 16 Characters of the Term

NC - Total Number of Characters in the Word

AL - If NOC = 1, AL = (DN,WN) where

DN - Document Number

WN - Word Number

If NOC = 1,

AL - Address of the Term's Occurrence List

D5040

Figure 2-12. SA DICTIONARY File Record Format

two meanings. If the term has a single occurrence, the AL field contains the document number, word number pair for the term. With multiple-occurrence terms, AL is the address in the OCCURRENCE file of the beginning of the occurrence list for the term.

The OCCURRENCE file is a list of occurrence lists as indicated by Figure 2-13. The lists are delimited by a special code, and the term identification number and number of occurrences are included in the record format.

2.5.3 Experimental Data Base Characteristics

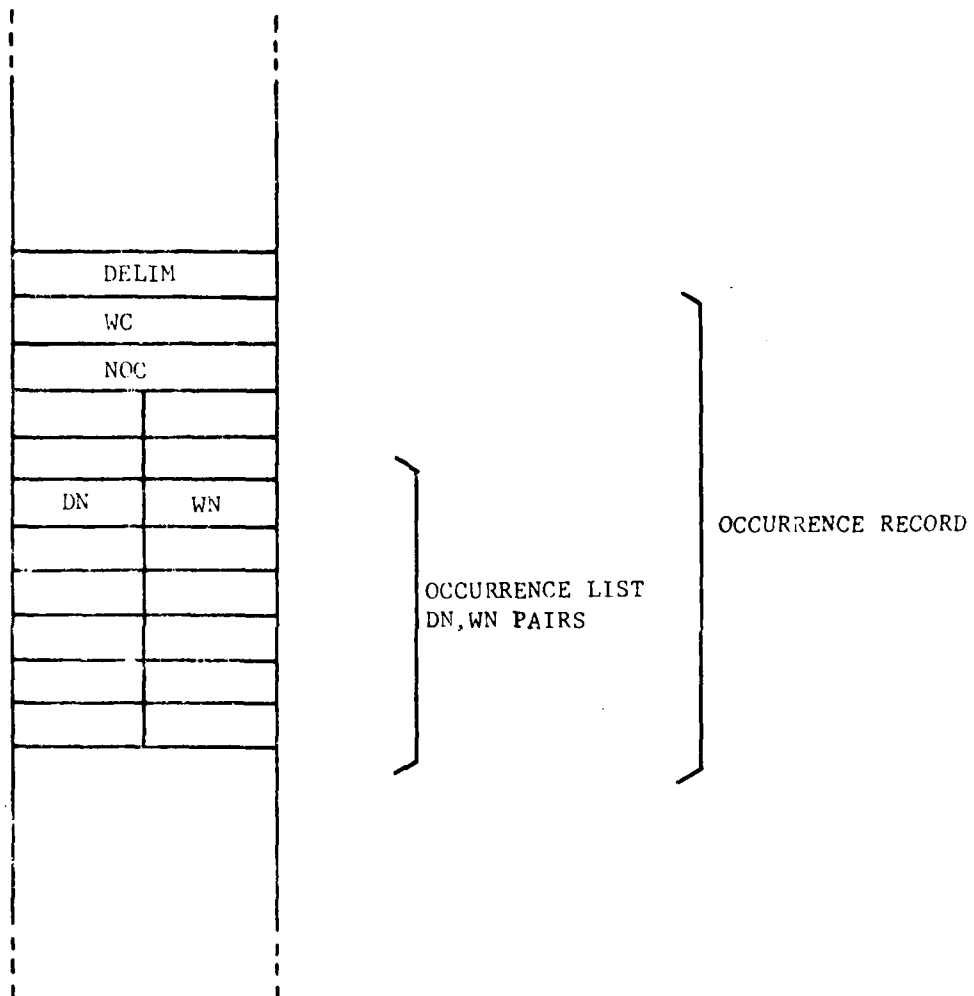
The 192 documents of the SA data base contain a total of 7153 words and produced a dictionary having 2551 dictionary terms. For comparison with the CIIO data base, the distribution of occurrence list lengths was measured, and the probability density function shown in Figure 2-14 and approximated by Equation 2-2 was derived.

$$\text{Pr } \{n\} = 0.678 \times n^{-2.21} \quad (2-2)$$

Although the CIIO and SA data bases differ greatly in size (three orders of magnitude) their characteristics shown in Figures 2-7 and 2-14 agree very well. In the smaller data base a higher frequency of single-occurrence terms and lower probabilities of high-occurrence terms are to be expected.

2.5.4 Growth Characteristics

The SA data base provided a useful tool for studying the growth characteristics of document retrieval data bases. First, the growth in the number of dictionary terms as a function of the number of document words was observed as documents were added to the data base. This growth behavior is illustrated in Figure 2-15 and, as can be seen, is well behaved. An expression for the curve of Figure 2-15 is given by Equation 2-3, where W is the number of document words in the data base and T is the resulting number of dictionary terms.



DELIM - Delimiter
 WC - Term Identification Number
 NOC - Number of Occurrences
 DN - Document Number
 WN - Word Number

DS041

Figure 2-13. SA Occurrence File Record Format

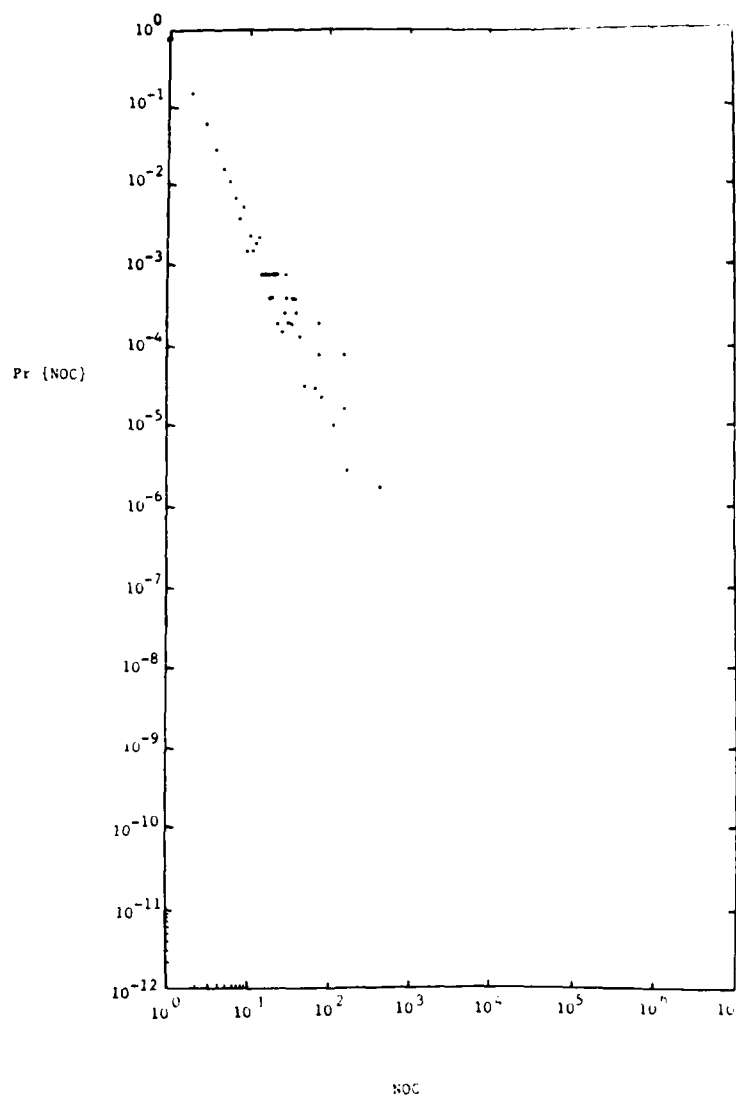


Figure 2-14. PDF of Number of Occurrences (SA Data Base)

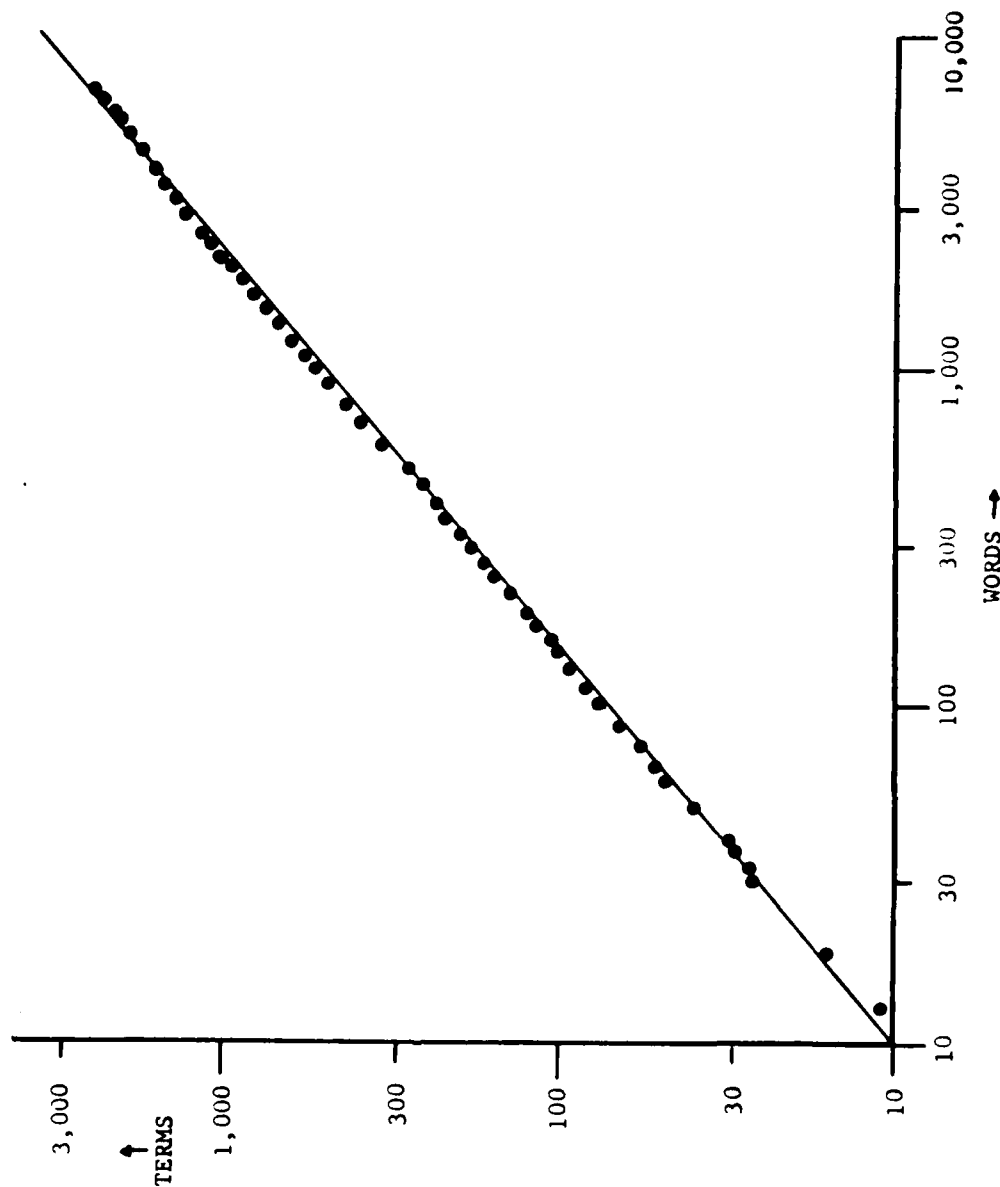


Figure 2-15. Dictionary Growth

D4143

$$T = 1.41 W^{0.85}$$

The second method of investigating the data base growth characteristics was to build data bases with varying numbers of documents and observe their characteristics. The data bases studied are indicated in Table 2-4. From this experiment, the growth characteristics in the numbers of infrequent terms, shown in Figure 2-16, were observed. The dictionary growth is also shown in Figure 2-16, for comparison. Note in particular the decreasing probability for single-occurrence terms as the data base grows. Expressions for the curves shown in Figure 2-16 are given by Equations (2-4) through (2-7), where T_i is the number of dictionary terms having i occurrences.

$$T_1 = 1.8 W^{0.78} \quad (2-4)$$

$$T_2 = 0.19 W^{0.87} \quad (2-5)$$

$$T_3 = 0.035 W^{0.95} \quad (2-6)$$

$$T_4 = 0.011 W^{0.99} \quad (2-7)$$

The growth in occurrence list lengths for the more frequently occurring terms is shown in Figure 2-17. The growths in occurrences are shown for the six most frequent terms, together with a sampling for some less frequent terms. An expression for the broken curve of Figure 2-17 is given by Equation 2-8 where N is the number of occurrences.

$$N = 0.120 W^{0.94} \quad (2-8)$$

All the data base growth characteristics investigated and reported here have shown well developed characteristics, indicating that data base growth is highly predictable, more so than might have been anticipated. This information will be very useful in developing details of a data base organization conducive to growth. For example, it is evident that the more frequent terms show the most rapid growth, and spare memory should be available

TABLE 2-4. SA DATA BASE SIZES

NUMBER OF DOCUMENTS	NUMBER OF WORDS	NUMBER OF TERMS
7	270	170
12	462	264
16	612	344
24	884	495
32	1180	633
48	1719	816
60	2236	1075
80	2773	1254
100	3772	1560
120	4518	1801
150	5647	2129
192	7153	2551

C8-0656

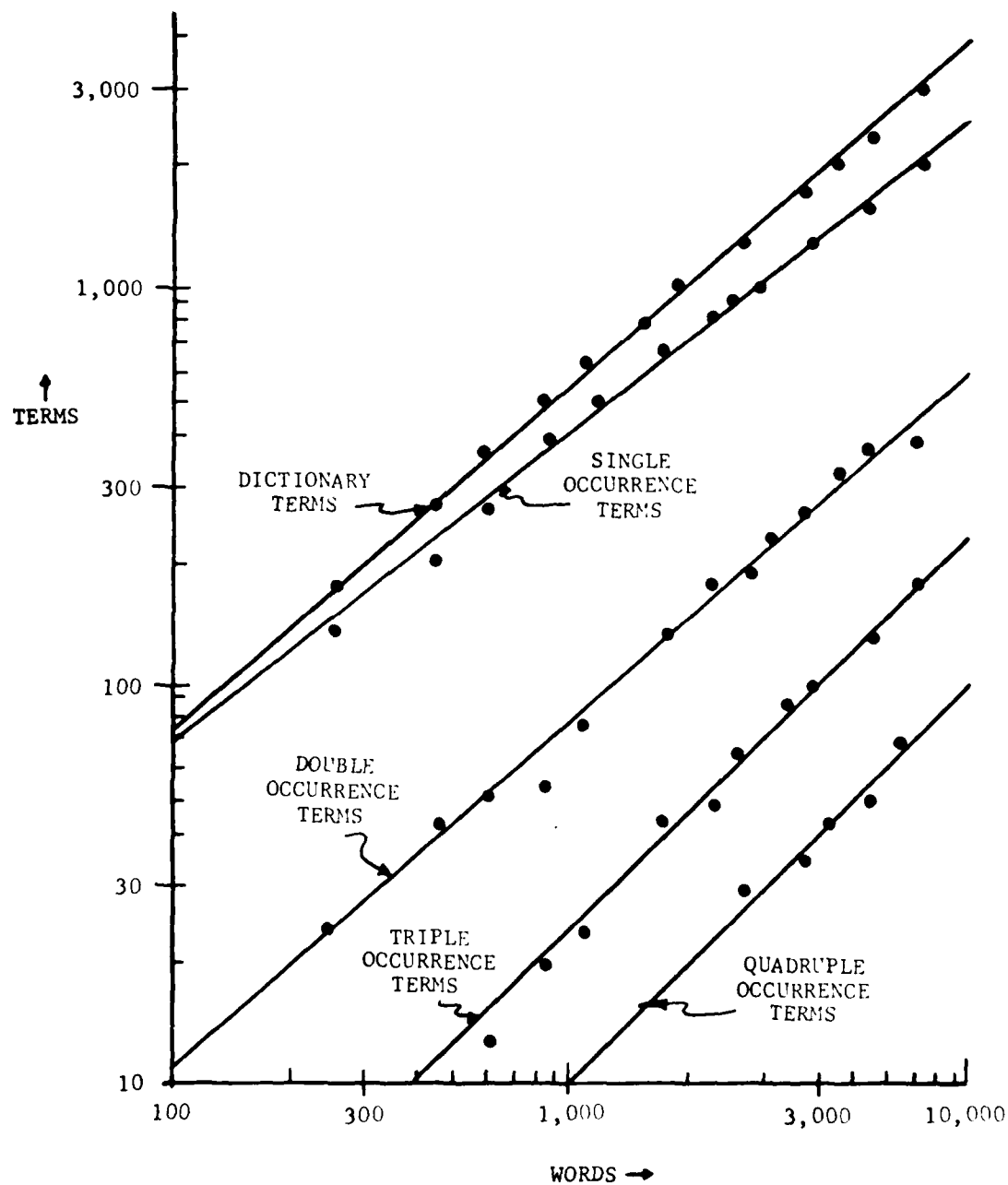


Figure 2-16. Dictionary Growth for Infrequent Terms

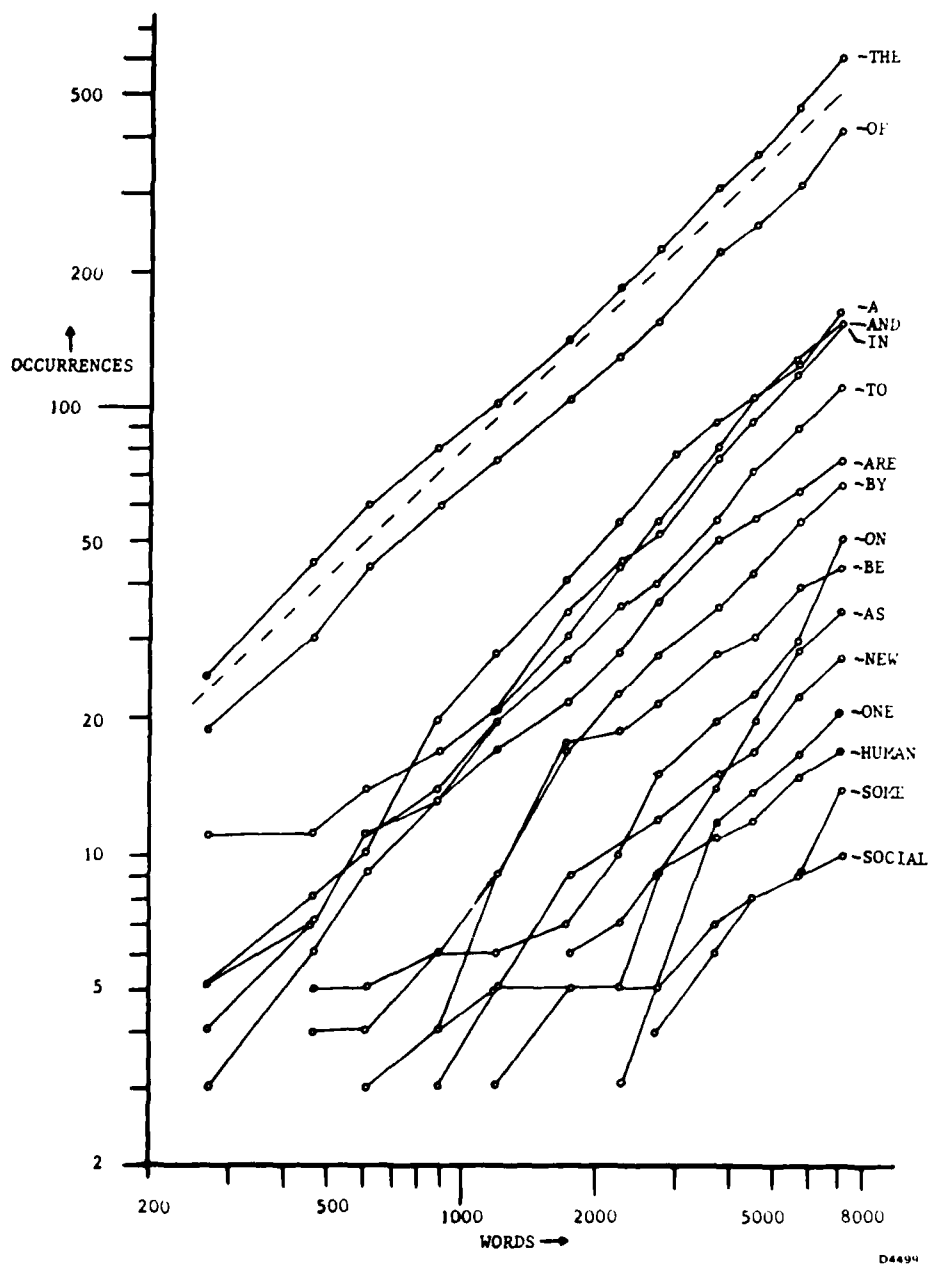


Figure 2-17. Dictionary Growth Characteristics for Frequent Terms

at the end of each such occurrence list to reduce the frequency of memory reallocation. While the infrequent terms do not grow rapidly on an individual basis, they do as a class. Thus, the memory allocation strategy should allow space for new, short occurrence lists.

3.0 RECONFIGURABLE ARRAYS OF FLEXIBLE PROCESSORS

In this section, the major hardware components from which reconfigurable arrays are synthesized are described. The Control Data reconfigurable arrays consist of microprogrammable processors interconnected by one or more Ring Communication Systems, and connected to auxiliary memory systems. These arrays are reconfigurable because their communication systems are programmable. Two types of processors can be used in the arrays, the existing Flexible Processor, and the Advanced Flexible Processor. Both machines are described in this section. The memories which can be attached to the processors include both high-speed random-access memory banks, and disk memories. An array is normally controlled and interfaced with its environment through a conventional computer, such as a CDC 1700 computer.

The Laboratory Reconfigurable Array used as a demonstration system for this study is described in Section 4.0 of this report.

3.1 THE FLEXIBLE PROCESSOR

The Control Data Flexible Processor is a digital microprogrammable processor which has been designed specifically for multidimensional array processing. Since the units are microprogrammable, they combine the advantage of special-purpose hardware in efficiency with the flexibility for software changes.

Each Flexible Processor can be used as a building block in a modular system design where each Flexible Processor is accordingly programmed with appropriate algorithms to perform specific tasks which are assigned to it. Moreover, programming of the various Flexible Processors in an array can be changed dynamically under control of a processing system which calls prescribed programs from memory, as from a rotating disk file. The size of the Flexible Processor has been effectively chosen to permit efficient construction of a complete system tailored to meet project requirements.

3.1.1 Hardware and Software Description

The Flexible Processor is a special-purpose computing unit featuring high arithmetic computation rates, considerable character-handling capabilities, an advanced input-output structure and semiconductor register file memories for data storage. Each unit features arithmetic logic capable of a 125-nanosecond addition of 16-bit or 32-bit operands, a 250-nanosecond fixed-point multiply of 8-bit bytes, and a 1.125-microsecond fixed-point multiply of 16-bit operands (Table 3-1).

The Flexible Processor is a dual bus organized machine with a modular set of functional units centered around a control section, including conditional instruction execution networks, interrupt hardware, and featuring a read/write semiconductor micromemory (Figure 3-1). The micromemory word contains both direct control functions and decoded bus controls. Movement of operands among the functional units is particularly effective in applications which require a high data throughput.

3.1.2 Functional Units

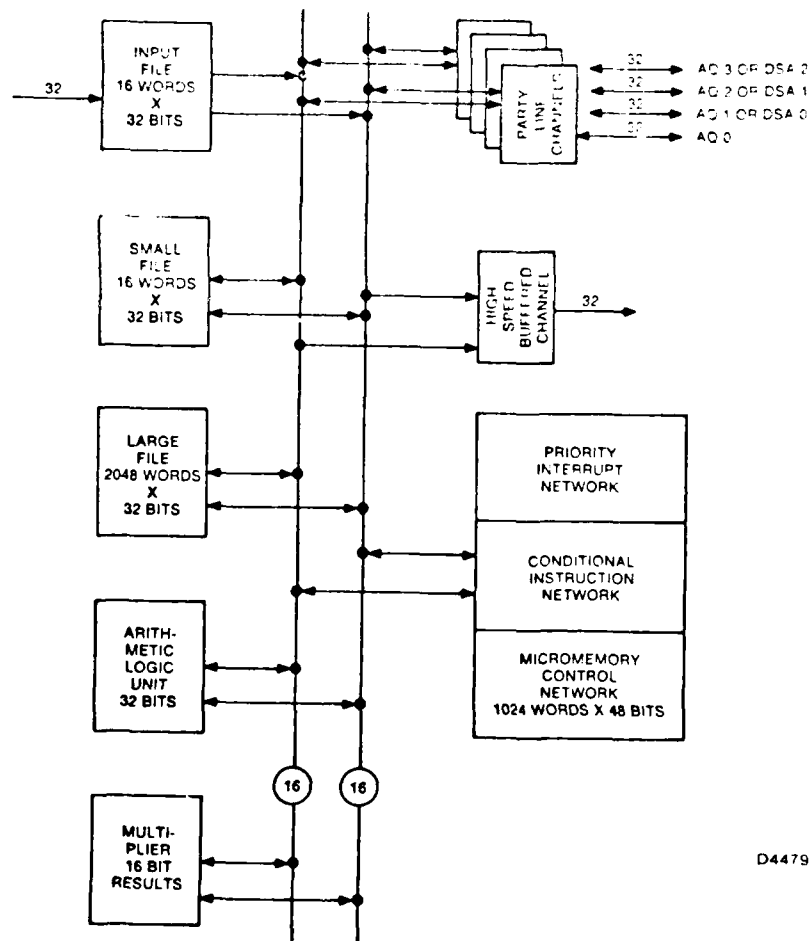
Four basic functions comprise the operation of the Flexible Processor: Arithmetic Logic Unit, Hardware Array Multiplier, Register File Storage, and Input/Output. They are described in the following paragraphs.

Arithmetic Logic Unit

This unit is capable of 39 unique arithmetic and logic operations. Shifting of all input feeder registers, right or left, with a selection of inputs to the registers during the shift instruction, and a "scale" capability on the output of the ALU extend the capabilities of this functional unit. The ALU may be configured in a 16-bit or 32-bit format (one and two cards, respectively).

TABLE 3-1. CDC FLEXIBLE PROCESSOR CHARACTERISTICS

- MICROPROGRAMMABLE - RANDOM ACCESS MICROCONTROL MEMORY
- 32-BIT OR 16-BIT WORD LENGTHS
- ARRAY HARDWARE MULTIPLIER
- 16 LEVEL HARDWARE PRIORITY INTERRUPT MECHANISM - 3 LEVEL MASK CAPABILITY
- SPECIALIZED LOGIC FOR SQUARE ROOT AND DIVIDE
- 8 mHZ FILE BUFFERED WORD TRANSFER RATE - 16 WORD BY 32-BIT OR 16-BIT INPUT FILE BUFFER
- 2 mHZ DIRECT MEMORY ACCESS WORD TRANSFER RATE
- 1 mHZ REGISTER-BUFFERED WORD TRANSFER RATES
- DUAL 16-BIT INTERNAL DATA BUS SYSTEM
- 0.125 μ s CLOCK CYCLE
- 0.125 μ s 32-BIT ADDITION: 0.250 μ s BYTE MULTIPLICATION
- REGISTER FILE CAPACITY UP TO 4128 16-BIT WORDS
- HARDWARE NETWORK FOR CONDITIONAL MICROINSTRUCTION EXECUTION - 4 MASK REGISTERS AND A CONDITION HOLD REGISTER



D4479

Figure 3-1. Data Path Organization in the CDC Flexible Processor

Hardware Array Multiplier

The Hardware Array Multiplier is a modular arithmetic function which is capable of eight by eight multiples, with each 8-bit operand directly selectable from two 16-bit input registers. Multiplication is performed asynchronously to the control unit, allowing other instructions to be executed while the multiplication is taking place.

Register File Storage

The internal Register File Storage is semiconductor memory providing both Temporary and Large File functions. The Temporary File provides 16 words of 16 bits each for each bus. This file has separate read and write address registers, allowing simultaneous read and write capability. The Large File provides a maximum storage of 2048 words of 16 bits each for each of the two buses.

Input/Output

The Flexible Processor has three types of external data transmission functional units available. These are:

- Bi-Directional Party-Line Channel (AQ)

This channel is compatible with CDC 1700 peripheral equipment and has a maximum data rate capability of one megaword per second (16-bit data word). There is a maximum capacity of up to four of these channels; however, they share space with the DSA channel.

- External Mass Memory Access Channel (DSA)

This channel is primarily intended for use with an MOS semiconductor mass memory. This channel has a data rate capability of two megawords per second (16-bit data word). The maximum addressable memory contains over one million 16-bit words (20 address bits, four of which are used for bank selection). There is a maximum of three of these channels; however, one channel is forfeited for

each additional AQ channel in the processor.

- Inter-Flexible Processor Communication Channel

This functional unit permits asynchronous data transmission between Flexible Processors at eight megawords per second with a maximum word length of 32 bits. In terms of the bit transfer rate, the maximum communication rate between Flexible Processors is 256 million bits per second. This communication channel is comprised of two dependent sections. One section is located in the sending Flexible Processor, and the other section is in the receiving Flexible Processor. The receiving section has a 16-word memory capable of simultaneous read and write operations enabling transmission asynchronous to the receiving Flexible Processor's usage of the operands. Each Flexible Processor has a maximum capacity of two 16-bit word sending sections and two 16-bit word receiving sections. In addition, each sending section can communicate with as many as four receiving sections. This channel can also be replaced by ports for a Ring Communication System which will be described later.

3.1.3 Miscellaneous Hardware Capabilities

Miscellaneous hardware capabilities of the Flexible Processor are Loop Counters, Return Jump File, Micromemory Control Storage, and optional external memory. These capabilities are described in the following paragraphs.

Loop Counters

Four counters are provided for software problems involving iterative computation. There are three 8-bit counters and one 16-bit counter with two compare registers associated with each counter.

Return Jump File

A return jump file of 16 words is used for subroutine exits, interrupt return, and iterative loop returns. This is a push-down, push-up file capable of returning control to a main program through a maximum of 16 nested loops

or subroutines.

Micromemory Control Storage

The micromemory consists of 48-bit words of random access read and write semiconductor memory with increments from 256 words to 1024 words for program storage.

External Memory

A MOS semiconductor memory bank can be added to a basic Flexible Processor by adding a DSA channel in the Flexible Processor and one or more racks of memory. This external memory is expandable in 8192-word increments from 8192 16-bit words to 65536 16-bit words per bank with a maximum of 16 banks per DSA channel. This memory has the capability of multiport operations with any one port having a data rate capability of two megawords per second, and multiport operation of three megawords per second. Faster memory capabilities for any Flexible Processor can be achieved by three DSA channels in the Flexible Processor communicating with separate ports of unique memory banks.

3.1.4 Software

As stated previously, the Flexible Processor is a microprogrammable processor with read and write random access instruction memory. Thus, through this memory the Flexible Processor is encoded to compute a desired algorithm, and the memory can be rewritten subsequently to perform different algorithms if it is so desired.

The instruction is a 48-bit word containing both decoded and direct control fields. The instruction word defines the following operations:

- Simultaneous control of the Arithmetic Logic Unit, Multiplier, Jump Stack, Loop Counter, Conditional Execution, and the two buses.

- Independent shifting of three registers
- Simultaneous control of four Input/Output Channels

A cross-assembler, MPASS2, has been written to aid in the generation of microprograms for the Flexible Processor. This assembler runs on the CDC 1700 computer system utilizing a data deck that has a one-to-one correspondence between the micromnemonics and the microinstruction control fields. A symbolic addressing capability of the assembler also eases the writing of microprograms.

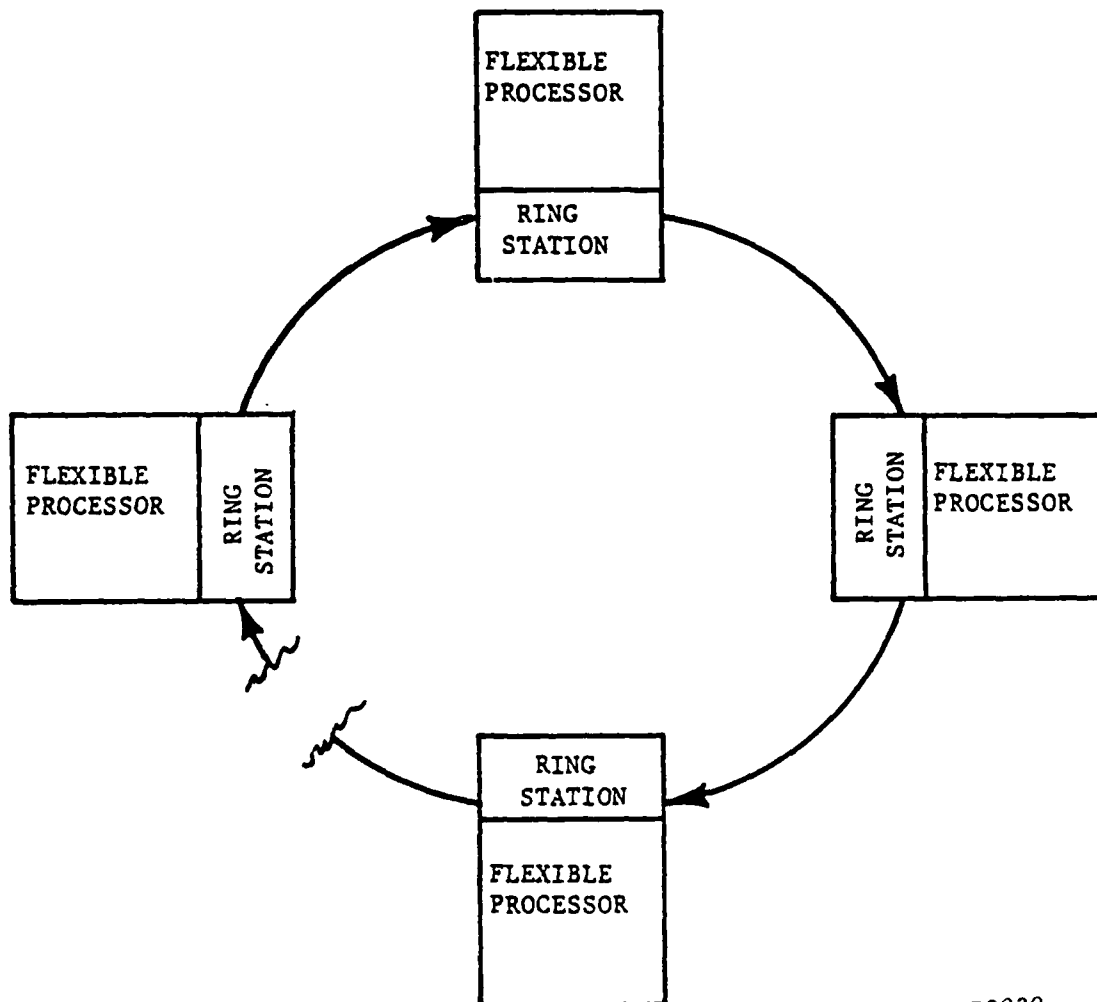
Other available software routines are:

- Library routines for generation of a microprogram library on disk file.
- Loader routine for loading microprograms into the Flexible Processor from the library disk file.
- Diagnostics for all functional units in the Flexible Processor.
- Display Station Monitor for hardware and software checkout of the Flexible Processor and microprograms.

3.2 THE RING COMMUNICATION SYSTEM

A reconfigurable array of Flexible Processors is constructed using a Ring Communication System developed by Control Data under a RADC contract (See Final Technical Report, RADC-TR-78-57, March, 1978). The ring communication system for Flexible Processors is discussed briefly in this section.

The ring hardware (See Figure 3-2) is capable of transferring data between any of the processors in an array. The central ring consists of paths for



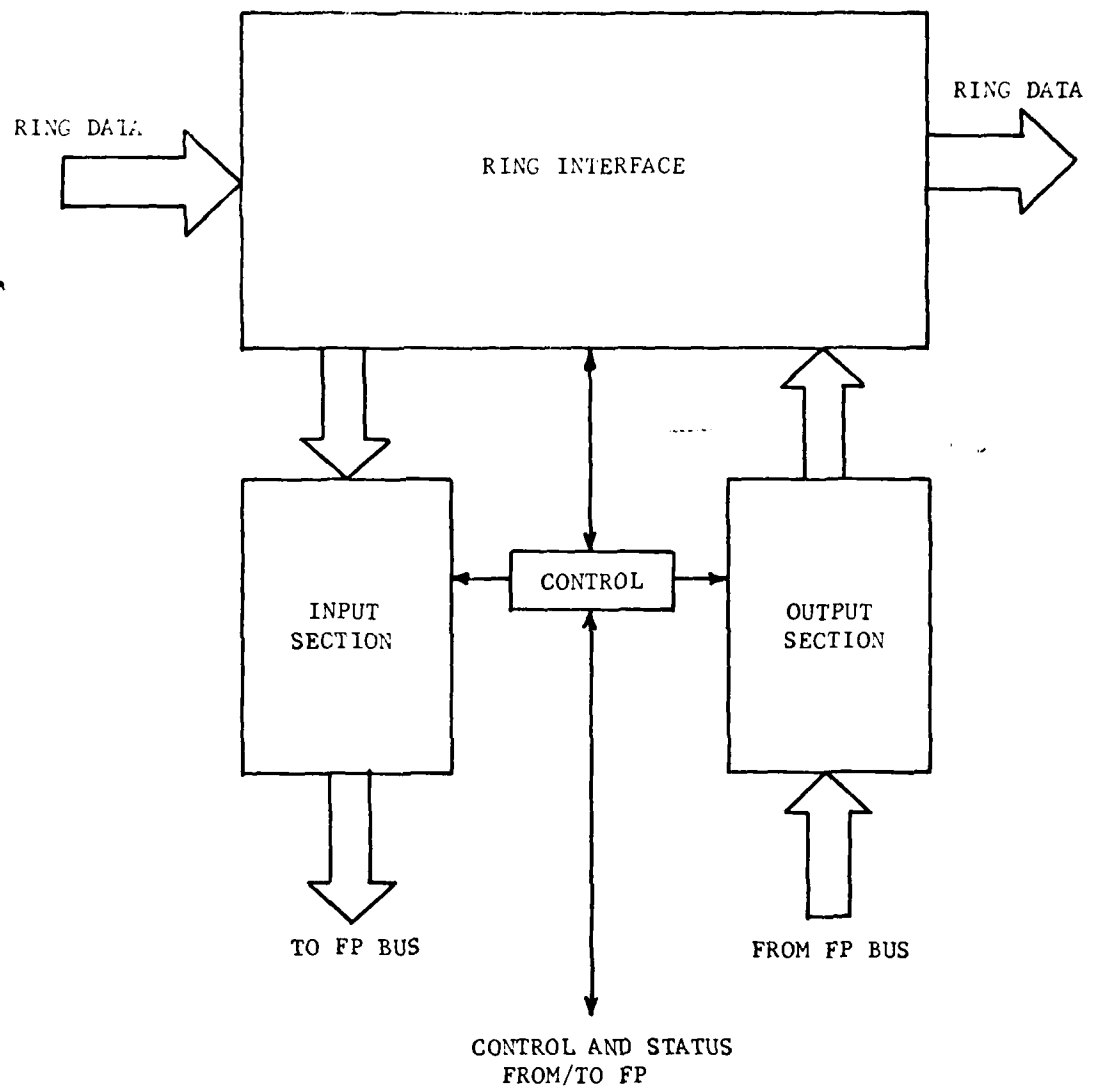
D3030

Figure 3-2. The Basic Ring Concept

data, destination address and flag bits. The ring will interface with array processors through ring stations, which contain provisions for entering data packets on the ring, removing and buffering incoming packets intended for that station, passing packets with different destinations through the station, and degradation ability in the event of hardware failure. A means is provided to uniquely identify each ring station and to alter that identifier under system software control. Each station has the ability to buffer incoming packets intended for that station, and to provide a large amount of the control and addressing functions necessary to place packets on the ring, relieving the associated processor of these "housekeeping" chores. Transfer between the ring stations is synchronous in order to achieve as high a data rate as possible. The ring has the ability to transfer a packet every 125 nanoseconds (a packet consists of 32 bits of data and 10 bits of address, function and flag control). The ring station is configured in a manner which will allow replacement of the present FP-to-FP high-speed channel found in the standard Flexible Processor. Each Flexible Processor will be able to contain two ring stations.

The ring station (See Figure 3-3) is divided into several major sections: the input section, the output section, and the control section. Data flow through these sections is summarized as follows: A data packet, consisting of a "word" of information transmitted in parallel from the previous ring station, arrives at the input of the ring interface every ring period, where it is latched in a register. A given input packet will be one of four types. It will contain information intended for receiving station, information intended for some other station on the ring, information intended for the receiving station and also intended for some other station on the ring, or no information, which would represent an empty slot on the ring.

The packet type is determined by the control section from data contained in the packet. Packets intended for the receiving station are routed by the control section to the input section where they are stored in an input file, which can be sourced by the Flexible Processor bus. Packets intended for other stations are routed unchanged through the interface to an output register, where they are latched for transmission to the succeeding station



D4484

Figure 3-3. Ring Station

during the subsequent ring period.

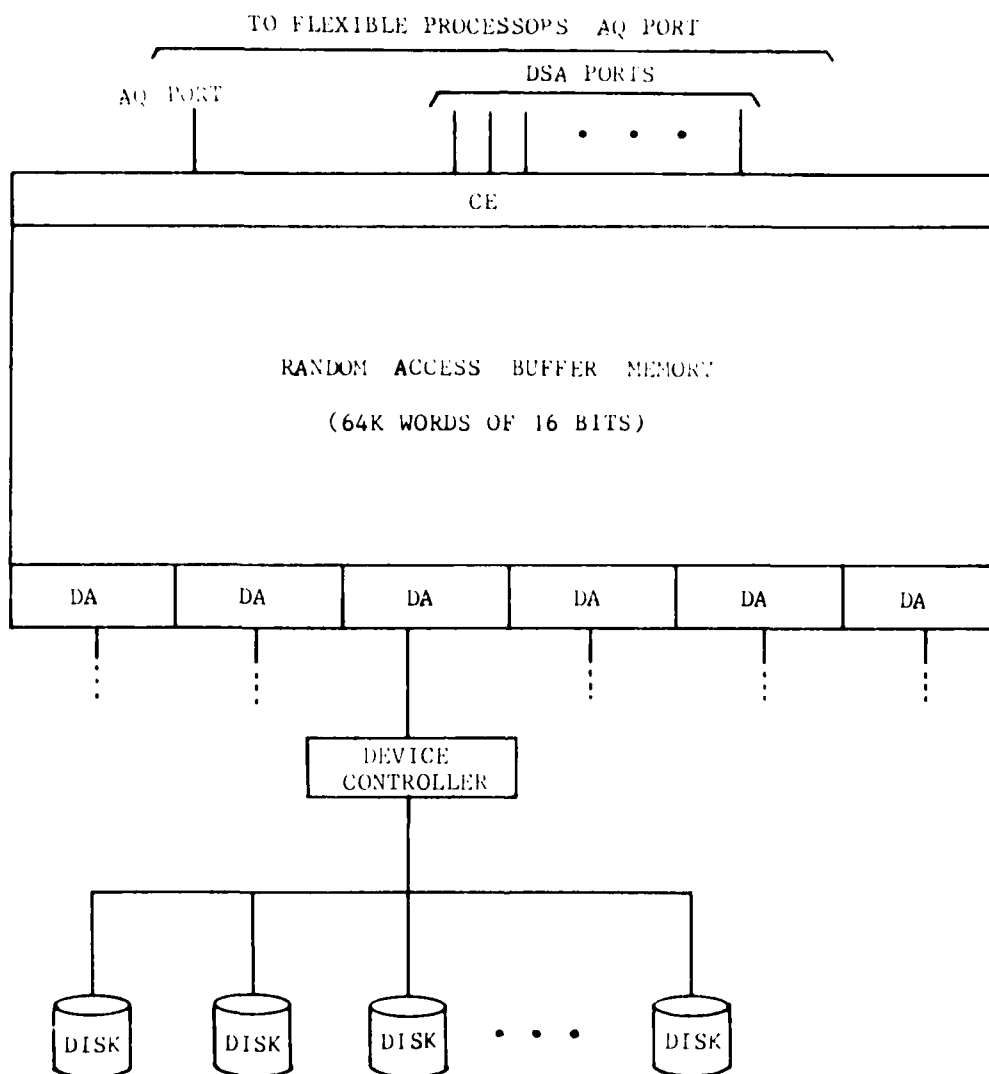
An empty packet entering the interface, or a packet which is removed from the ring and placed in the input file, creates an opportunity for the station to place a new packet on the ring. If the processor has placed information in the output buffer and it is ready to be transmitted at this time, the data will be routed from the output buffer, along with destination and control information, to the output register of the ring station where it is transmitted to the next station during the next cycle.

Control signals from the Flexible Processor notify the ring station of information to be placed in the output buffer for ring transmission and allow access by the processor to data in the input file. The station returns signals to the processor regarding the status of input blocks and the availability of empty slots for output.

3.3 THE DATA CHANNEL CONTROLLER

The Control Data Data Channel Controller (DCC) provides a mechanism for connecting the Flexible Processor of a Reconfigurable Array with high capacity, secondary memory devices. Each Data Channel Controller supports record transfers of data between a maximum of 16 Flexible Processors and 48 disk drives, representing up to 1011 bits of storage. More than one Data Channel Controller can be attached to a Reconfigurable Array for increased storage capacity or transfer rate.

The organization of a Data Channel Controller is illustrated in Figure 3-4. The DCC is built around a random access buffer memory of 128K bytes which is used to buffer records between the Flexible Processors and the disks. The control element (CE) of the DCC is connected to the Reconfigurable Array in two ways. A set of ports which are compatible with Flexible Processor DSA channels is provided for the transfer of data. In addition, a port compatible with an AQ channel provides a path for the transfer of commands and other control information.



D4140

Figure 3-4. Data Channel Controller Organization

On the other side of the buffer memory, the DCC can have up to six disk adapters (DA) which govern transfers of records between the buffer memory and the disk controllers.

3.4 THE ADVANCED FLEXIBLE PROCESSOR

This section presents a general description of the Advanced Flexible Processor (AFP). The AFP consists of a number of functional units which communicate with each other via a crossbar network. The processor configuration, as shown in Figure 3-5, has typical mix of functional elements.

3.4.1 The Crossbar-Data Switch

In Figure 3-5, several lines are shown as entering and leaving an element called the crossbar. Each of these lines is a data path 16 bits in width. The crossbar provides the capability to transfer data on many paths simultaneously. In fact, data on 16 16-bit paths can be transferred into the crossbar and data transferred out of 16 data paths on one instruction cycle. This provides a total communication bandwidth of about 12.8×10^9 bits per second. The crossbar network thus provides a very high band width network for internal communications.

The generalized nature of the crossbar data path has many benefits. The programmer has complete control over data routing between all functional elements on every machine cycle. This gives the programmer the capability to control, in detail, the data flow and machine execution.

3.4.2 The Processor Characteristics

The various general characteristics of the Advanced Flexible Processor are listed in Table 3-2 below.

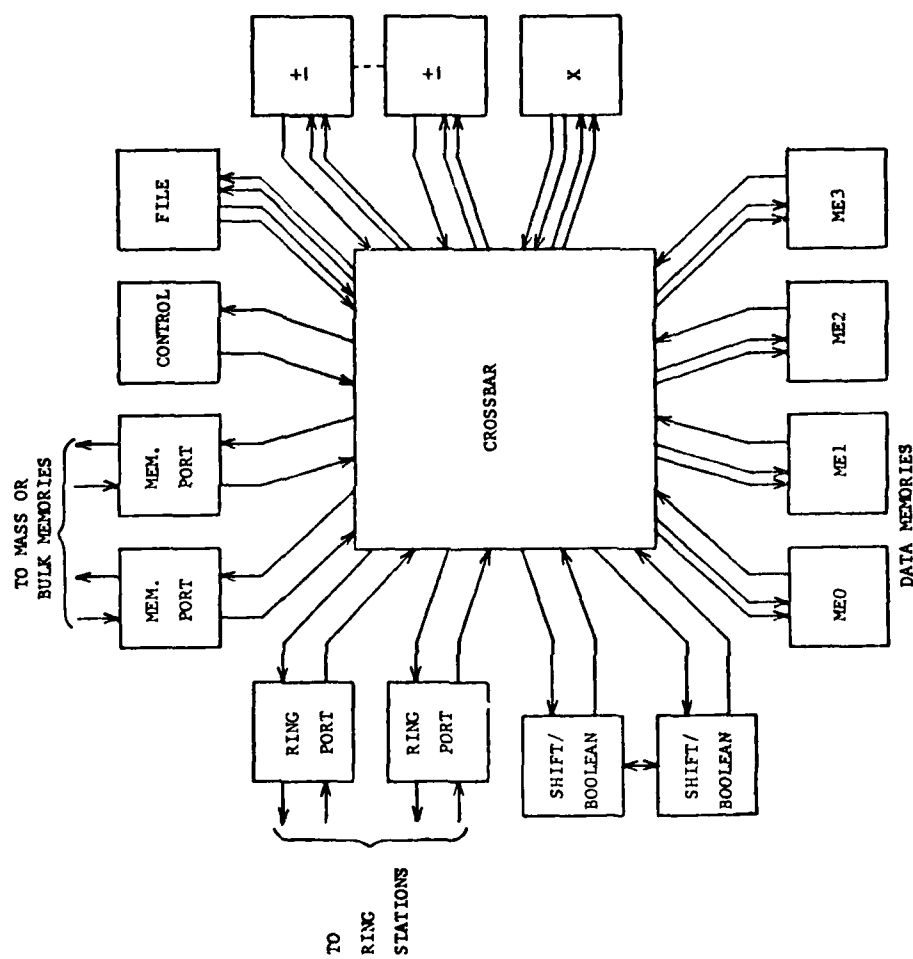


Figure 3-5. Basic Advanced Flexible Processor

TABLE 3-2. AFP FEATURES

- 50 MIPS - 20 NS Per Microinstruction
- LSI ECL Technology
- Multiple Functional Units
- Generalized Internal Data Path - Crossbar
 - 256 Bits per Cycle
- Internal Configuration Flexibility
- Add-On Capability
- Microprogrammed
- Multiway Branching
- Ring System - Interprocessor Communication

One of the most important features of the AFP design is the ability to vary the structure of the processor without affecting the machine instruction set. This provides great hardware flexibility. Specialized units needed for a particular application to obtain higher performance can easily be added. One such specialized unit is the Associative Memory Unit described in Section 3.5.

3.4.3 Functional Unit Capabilities

The primary capabilities of the hardware functional units are listed in Table 3-3.

These capabilities are explained in greater detail in the processor specification. It should be noted that, in general, each functional unit has considerable processing capability and that multiple operations are performed by most of the units. For example, the Shift/Boolean unit can perform both the shift operation and the Boolean operation in one machine cycle. The multiply unit has the capability to perform either a 16 x 16 multiply, or two simultaneous 8 x 8 multiplies. The file unit is a particularly high performance unit having the capability to perform two read and two

TABLE 3-3. FUNCTIONAL UNIT CAPABILITIES

INTEGER ADD	ADD/SUBTRACT, 16 OR DUAL 8-BIT, 1's OR 2's COMP, 32-BIT NETWORK
MULTIPLY	16 x 16, DUAL 8 x 8, INTEGER BYTE PRODUCTS, 2's COMP
SHIFT/BOOLEAN	32-BIT RIGHT OR CIRCULAR SHIFT, 16 BOOLEAN OPERATIONS
FILE	2 SETS OF 8-WORD x 16-BIT REGISTERS, 2 16-BIT READS AND 2 16-BIT WRITES PER CYCLE
DATA MEMORY	1024 16-BIT WORDS, 16 16-BIT REGISTERS DIRECT AND INDIRECT INDEX ADDRESSING, AUTOMATIC INDEX INCREMENT/DECREMENT
CROSSBAR PORT	16-BIT OUTPUT AND 16-BIT INPUT DATA CONNECTIONS TO THE CROSSBAR
RING PORT	16-BIT DATA I/O WITH RING AND PROCESSOR, 16-WORD INPUT AND 16-WORD OUTPUT BUFFERS FORCED TRANSFER TO ALL PROCESSOR MEMORIES
XMAU	EXTERNAL MEMORY ACCESS 128-BIT MEMORY I/O AND 16-BIT PROCESSOR I/O, MAX ADDRESS 3 BYTES

write operations on the same machine cycle.

3.4.4 Microinstruction Format

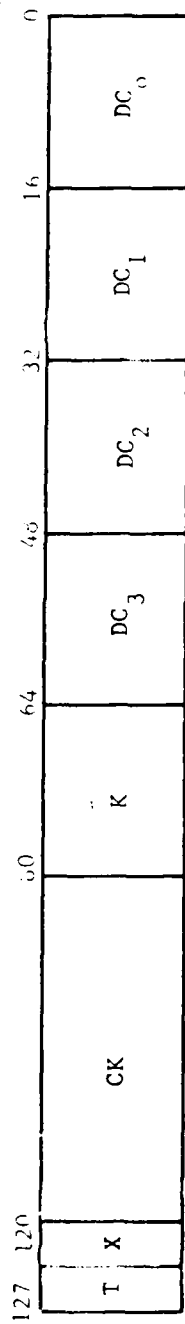
The AFP is microprogrammed processor controlled by two types of instructions as shown in Figure 3-6. The first type of instruction, Type 0, is the most widely used instruction. The second type of instruction is used for loading a memory unit which provides detailed control of crossbar data path routing. This type of instruction is used primarily when loading in a new application program.

In the Type 0 instruction, each of the four DC fields controls the functional units. Each of the fields can control any of the functional units. This provides a very generalized instruction format. Also, the individual functional units can be changed without affecting the instruction format. The constant field (K) provides 16 bits of data which can be routed to any of the functional units directly from the microinstruction.

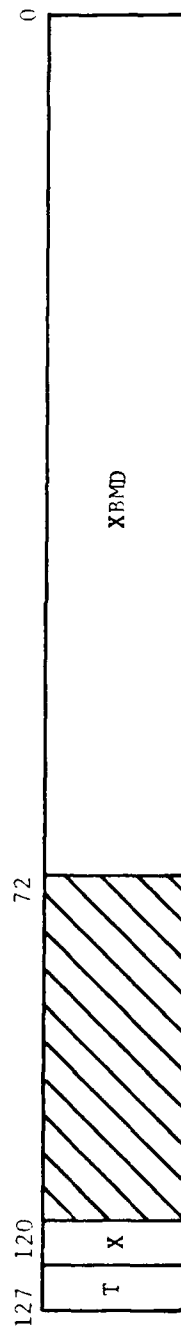
The clock field (CK) controls the input feeder registers in each of the functional units. The clock field provides a unique and powerful capability to set-up multiple pipelines within the processor such that all 16 units can be used simultaneously.

The X field addresses the memory unit which supplies control information to the crossbar. The T field selects one of two types of instruction format. More detail on the instruction format is provided in Figure 3-7.

The clock field controls feeder registers in the functional units. In general, two bits in this field are assigned to each functional unit. A bit "1" allows the input registers to be clocked and loaded with new data. Each time the data input to the functional unit changes, even though the control information is the same, the unit will generate a new type of data result. Control of the function to be executed by the unit is changed by use of a DC field.



Type 0 Instruction



Type 1 Instruction

000000

Figure 3-6. AFP Instruction Formats

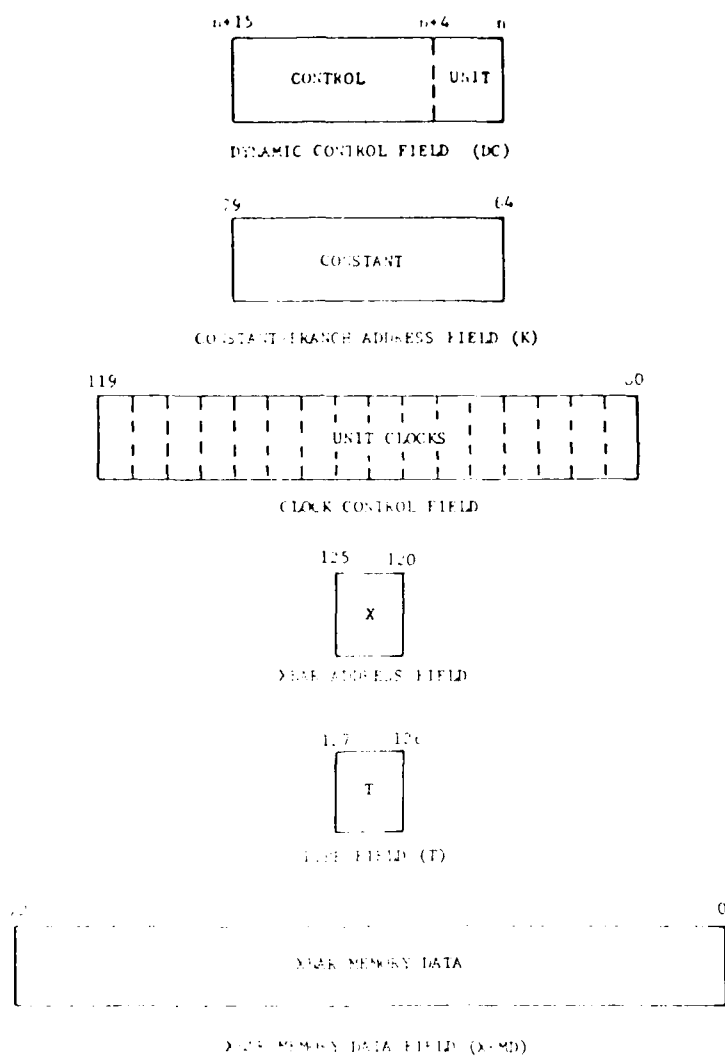


Figure 3-7. AFP Instruction Subfields

3.5 THE ASSOCIATIVE ADD-ON UNIT

The Associative Add-On Unit design consists of 256 associative processing cells and a microprogrammable controller organized as illustrated in Figure 3-8. The Exploratory Development Model was, however, populated with only thirty-two cells, as an evaluation tool during the study.

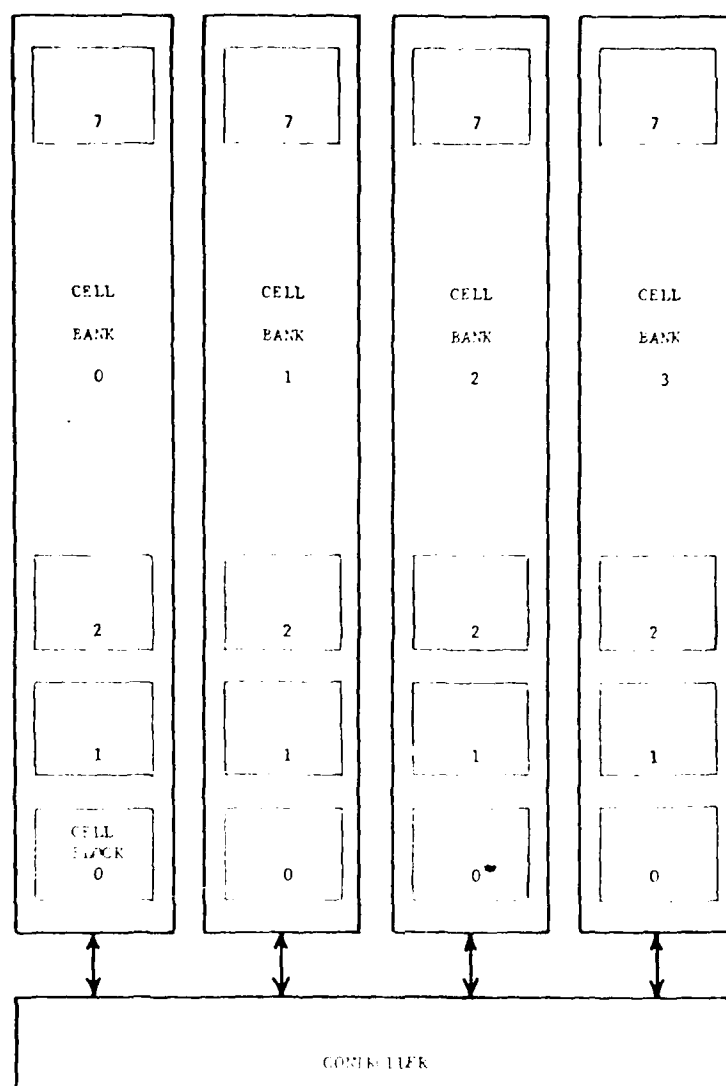
The Associative Unit (AU) is designed to operate as an add-on unit for both the Flexible Processor and the Advanced Flexible Processor as illustrated in Figure 3-9. The interconnections required between an Associative Unit and its host processor are summarized in Table 3-4. The internal differences between the Flexible Processor (FP) and the Advanced Flexible Processor (AFP) are compensated for by different interface units between an AU and its host. The AU requires a 16-bit data input path, an 8-bit control input path, a 16-bit data output path, and a number of other control signal lines. The AU signals can be changed once in every AU machine cycle. The functions of the signals defined in Table 3-4 are described further in a later section.

The internal machine cycle of the Exploratory Development Model Associative Unit has a period of 125 nsec. and is synchronized to the clock of the host processor.

3.5.1 The Associative Processing Cells

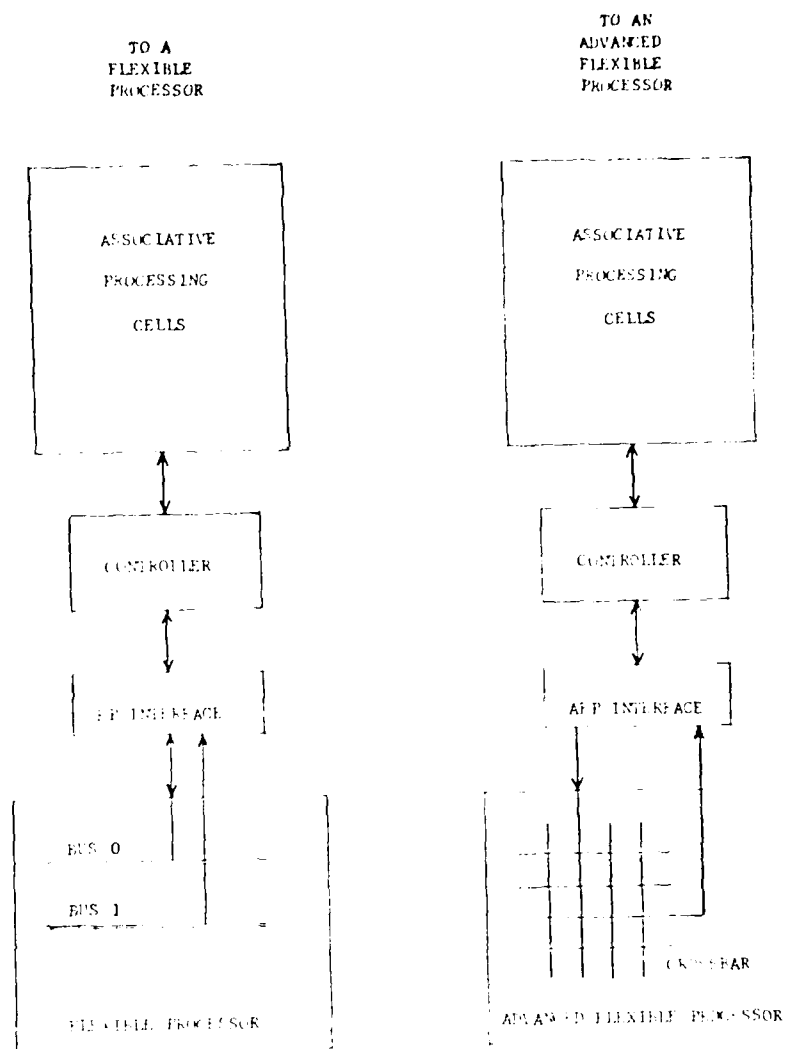
The Associative Processing Cells are partitioned into blocks of eight. A physical unit (card) consists of four cells together with their associated response logic (See Section 3.5.2).

The basic components of a cell are shown in Figure 3-10, and include a 256 x 4 Random Access Memory (RAM), a 4-bit Arithmetic Logic Unit (ALU), and a cell control element. Each cell possesses a number of registers; the names and sizes of each register are listed in Table 3-5. All cells of an AU are common to a set of five buses which carry data and control information to



CS-0521

Figure 3-8. Organization of the Associative Unit



CS-3522

Figure 3-9. Associative Add-On Unit Interfacing

TABLE 3-4. AU/HOST INTERCONNECTIONS

FUNCTION		HOST			
		FP		AFP	
SYMBOL	DESCRIPTION	LINES	SOURCE/DESTINATION	LINES	SOURCE/DESTINATION
DI	Data Input	16	BUS 0	16	Crossbar
CC	Control Vector	12	BUS 1	12	Controller
CL	Clock Signals	3	Micromemory	3	Micromemory
DO	Data Output	16	BUS 0	16	Crossbar
ST	Status Vector	1	Condition	8	Conditions
IT	Interrupt	1	Interrupt	1	Interrupt
PH	Master Clock	1	Clock	1	Clock

C8-0523

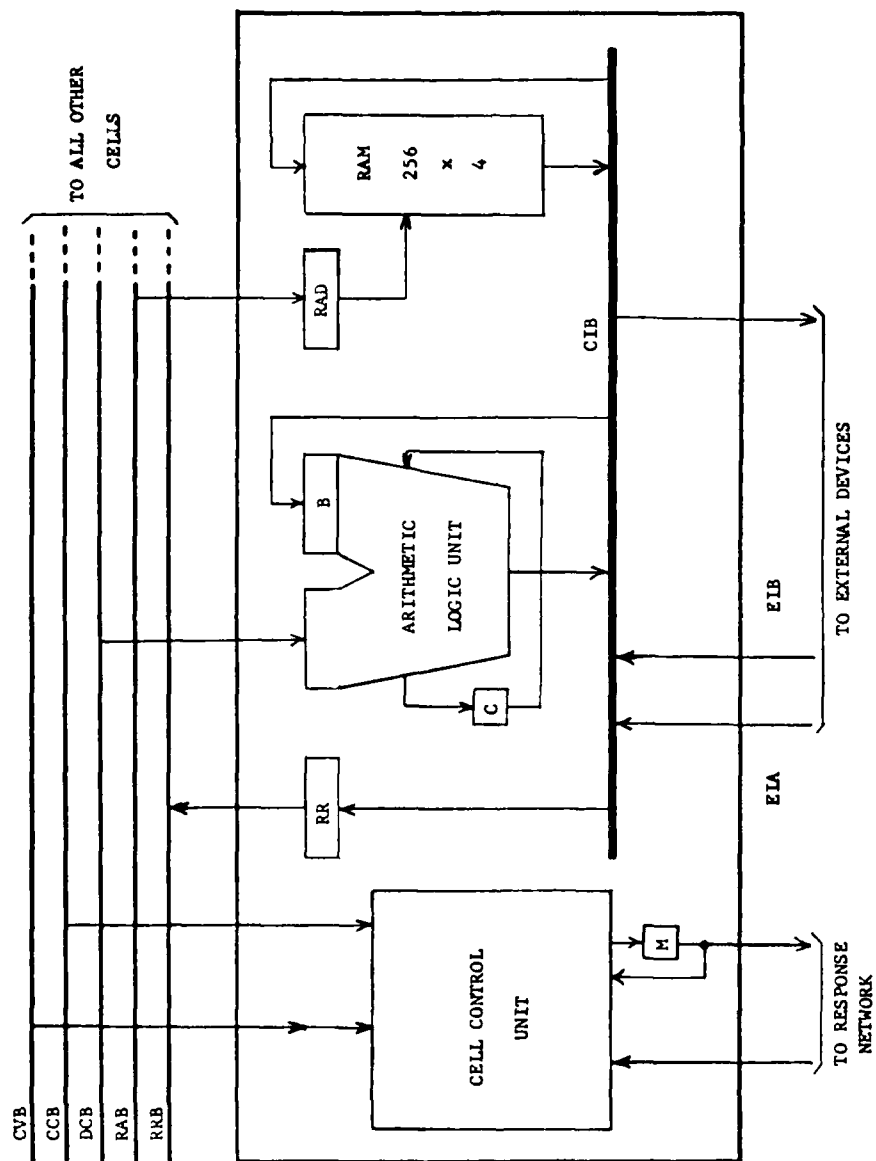


Figure 3-10. Associative Unit Cell Structure

CB-0524

TABLE 3-5. CELL REGISTERS

SIZE	NAME	USE
4	RR	<u>Read Response Register</u> - holds data for output
4	B	<u>B Operand Register</u> - holds the second ALU operand and serves as an accumulator
8	RAD	<u>RAM Address Register</u> - holds cell memory address
1	M	<u>Mark Register</u> - holds mark status of cell
1	C	<u>Carry Register</u> holds ALU carry input

C8-0525

the cells and data from one of the cells. The names and functions of these buses are given in Table 3-6. In addition to the common buses, each cell has a set of rail connections for propagating information between adjacent cells and terminals for passing data between each cell and external devices. These external data ports can be used for cell expansion such as the Direct-Load Memory Feature. The rails to which the cells are connected are part of the response network.

Each cell of an AU may be in either of two major states as determined by the M register in the cell. When the M register is set, the cell is said to be marked, and when clear, the cell is unmarked. The response network deals only with these mark signals.

In addition to the mark signal, several other cell status signals exist, and are listed in Table 3-7. The writing of the cell memory can be conditioned on a selected status signal. Operations of the M (Mark) register can also be conditioned.

The various cell operations and their abilities to be conditionally executed are listed in Table 3-8.

3.5.2 The Response Network

The Response Network is hierarchically organized, using a few types of modules. At the lowest level, each cell has an associated Rail Module. The next level is comprised of Block Response Modules, and above that, Bank Response Modules. In addition to providing an indication (R) when any cell of the system is marked, the Response Network also implements the rails used for cell-to-cell intercommunication. It is the topology of the Response Network that is responsible for the organization of the Associative Processing Cells into blocks and banks.

TABLE 3-6. CELL BUSES

NAME	LINES	FUNCTION
CVB	16	<u>Control Vector</u> - These signals are derived from the AU Program Memory and define the operations to be performed by each cell. (See Table 3-8 for details)
CCB	6	<u>Cell Clocks</u> - These clock signals govern the latching of information into the register of the cells.
DCB	4	<u>Data/Comparand</u> - This bus supplies data which may be used as an operand or comparand by the ALU, or may be written into the cell memory through the ALU.
RAB	8	<u>RAM Address</u> - This bus delivers an address to the cell memories which selects a memory location to be written or read.
RRB	4	<u>Read Response</u> - This bus is used to transfer data or results out of the cells. To avoid bus contention, only the topmost (lowest numbered) cell which is marked has its output register contents placed on the RRB bus.
CIB	4	<u>Cell Internal</u> - This is the only bus of a cell which is unique to that cell, unless the external ports are used to tie cell internal buses together. The internal bus is used to transfer data internally between a cell's ALU and RAM.

C8-0526

TABLE 3-7. CELL STATUS SIGNALS

NAME	DESCRIPTION
I	<u>UNCONDITIONAL</u> - THIS STATUS SIGNAL IS ALWAYS TRUE
M	<u>MARKED</u> - THIS SIGNAL INDICATES WHEN THE CELL IS MARKED
C	<u>CARRY</u> - THIS SIGNAL IS THE VALUE OF THE ALU CARRY INPUT REGISTER
Z	<u>ZERO</u> - THIS SIGNAL IS TRUE WHEN THE ALU OUTPUT IS ZERO
N	<u>NEGATIVE</u> - THIS SIGNAL IS TRUE WHEN THE ALU OUTPUT IS NEGATIVE
P	<u>PROPAGATE</u> - THIS SIGNAL FROM THE RESPONSE NET IS TRUE IF ANY CELL ABOVE THE CELL IN QUESTION IS MARKED
F	<u>FIRST</u> - THIS SIGNAL IS TRUE IF THE CELL IS THE TOPMOST CELL WHICH IS MARKED (P = 0 AND M = 1)
AM	<u>ABOVE MARKED</u> - THIS RAIL INPUT IS THE MARKED STATUS OF THE CELL IMMEDIATELY ABOVE
BM	<u>BELOW MARKED</u> - THIS RAIL INPUT IS THE MARKED STATUS OF THE CELL IMMEDIATELY BELOW

C8-0527

TABLE 3-8. CELL OPERATIONS

CELL COMPONENT	CONTROL SIGNALS	CONDITIONABLE	FUNCTIONS
Arithmetic Logic Unit (ALU)	CCV[0:4]	NO	\bar{A} \bar{AB} $\bar{A \vee B}$ 1 $A \cdot B$ \bar{B} $A = B$ $A \vee \bar{B}$ \bar{AB} $A \oplus B$ B $A \vee B$ 0 $A \bar{B}$ AB A $A - 1$ $(AB) - 1$ $(\bar{AB}) - 1$ $- 1$ $A + (A \vee \bar{B})$ $(AB) + (A \vee \bar{B})$ $A - B - 1$

CS-0526

TABLE 3-3. CELL OPERATIONS (Cont'd)

CELL COMPONENT	CONTROL SIGNALS	CONDITIONABLE	FUNCTIONS
Arithmetic Logic Unit (ALU) Cont'd.	CCV [0:4]	NO	$A \bar{B}$ $A + (A \bar{B})$ $A + B$ $(\bar{A} B) + (A \bar{B})$ $A \bar{B}$ $A + A$ $(\bar{A} B) + A$ $(\bar{A} B) + A$ A
Mark Register (M)	CCV[5:6]	YES	Hold Set Reset Complement
Carry Register (C)	CCV[7:8]	YES	Hold Set Reset Load (from CO)
Memory (RAM)	CCV[9]	YES	Read Write

C6-0526A

TABLE 3-8. CELL OPERATIONS (Cont'd)

CELL COMPONENT	CONTROL SIGNALS	CONDITIONABLE	FUNCTIONS
Internal Bus Source Selection (CIB)	CCV [10:11]	NO	ALU Output RAM Output External Input A (EIA) External Input B (EIB)
Status Condition Selection (S)	CCV [12:15]	NO	I (Unconditional) M (Mark) C (Carry) Z (+/ALU) N (ALU[0]) P (Propagate) F (First Marked) AM (Above Marked) BM (Below Marked)
RR Register (RR)	CCB [2]	YES	Hold Load
B Operand Register (B)	CCB [4]	YES	Hold Load
RAD Register (RAD)	CCB [5]	YES	Hold Load

C8-0528B

Block Response Modules

A Block Response Module serves a block of eight cells and contains eight Rail Modules and one Response Collector Module with the interconnection shown in Figure 3-11. The terminals at the top and bottom of the Block Response Module are for continuation of the rails. The output (BR) which is produced by the Response Collector Module (Figure 3-12), indicates whether any cell of the block is marked. The Response Collector Module is also used to provide a high-speed route for the propagate rail.

Rail Modules

The internal construction of a Rail Module is shown in Figure 3-13. As in the case of the block levels, the terminals on the top and bottom support the intercommunication rails. Each Rail Module has one input from the cell, the contents of its Mark Register (M) and four outputs to its associated cell. These outputs are the status conditions Propagate, P; Above Marked, AM; Below Marked, BM; and First Marked, F.

Bank Response Modules

The Bank Response Module is merely the interconnection of the eight Block Response Modules associated with the blocks of a bank of cells, together with one Response Collector Module. The wiring of a Bank Response Module is shown in Figure 3-14.

Memory Response Module

An Associative Unit contains one Memory Response Module consisting of four Bank Response Modules and other circuitry as shown in Figure 3-15. Signal IP defines the initial condition of the Propagate (P) and Above Marked (AM) Rails. The signal NP inhibits the Propagate Rail between the banks of cells. This allows four cells, one in each bank, to be supplied with different data, such as would be done during a fast overlay of the cell memories.

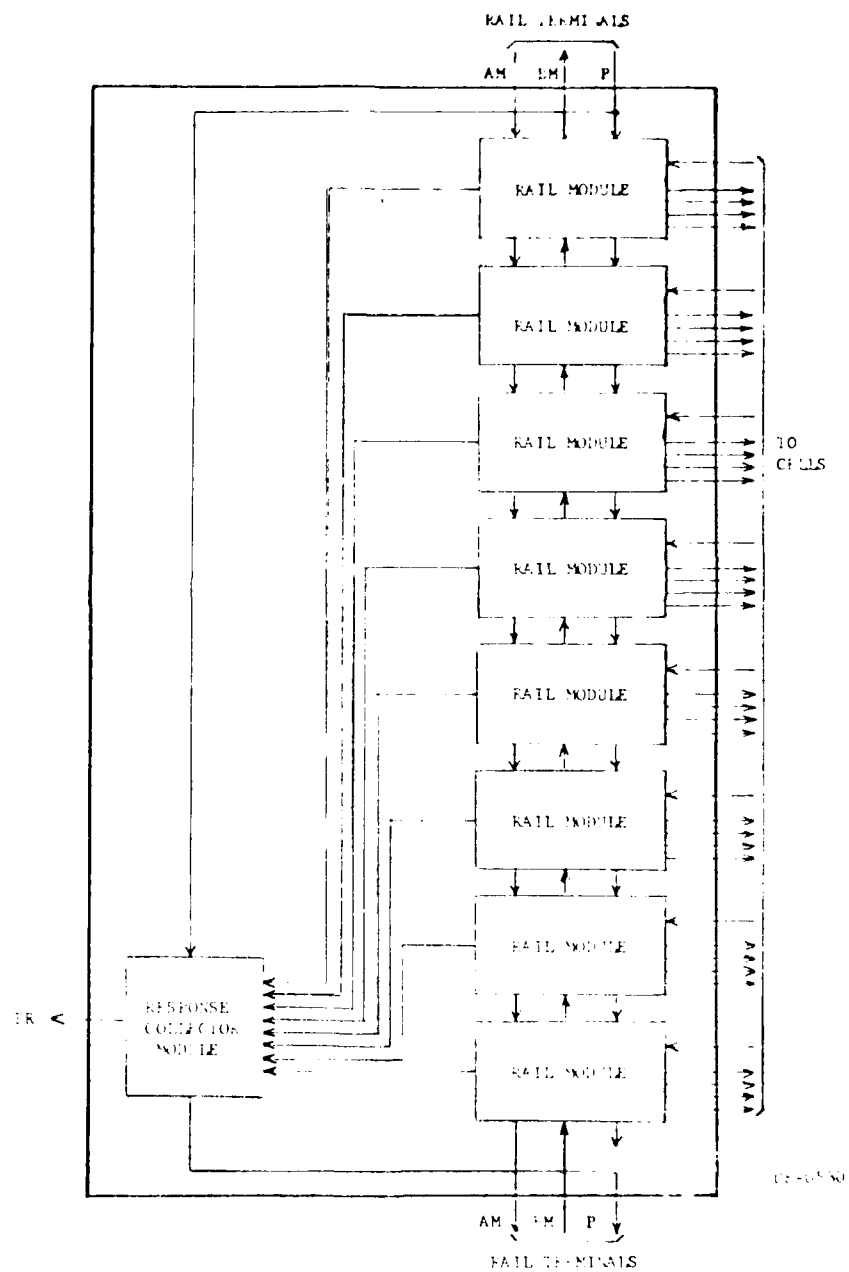


Figure 3-11. Block Response Module

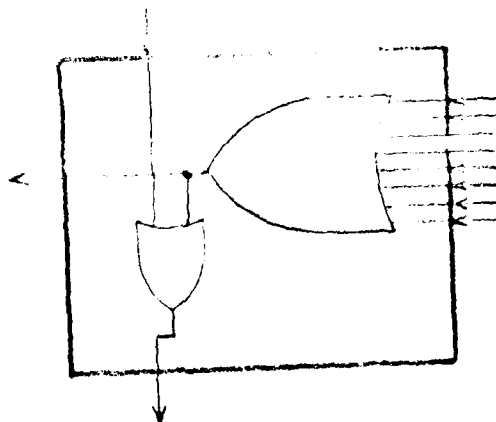


Figure 3-12. Response Collector Module

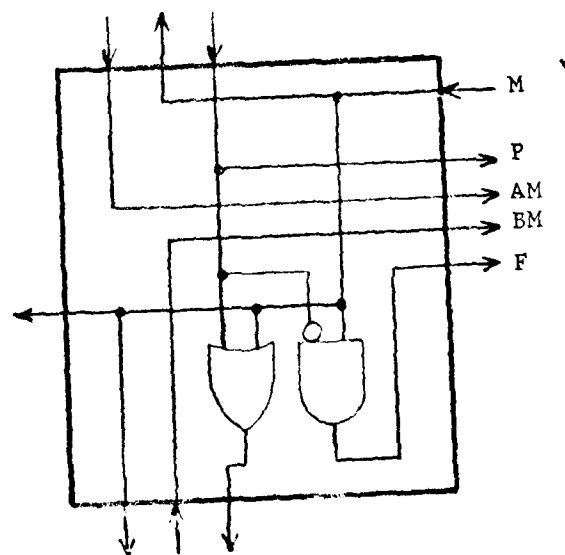


Figure 3-13. Rail Module

C8-0531

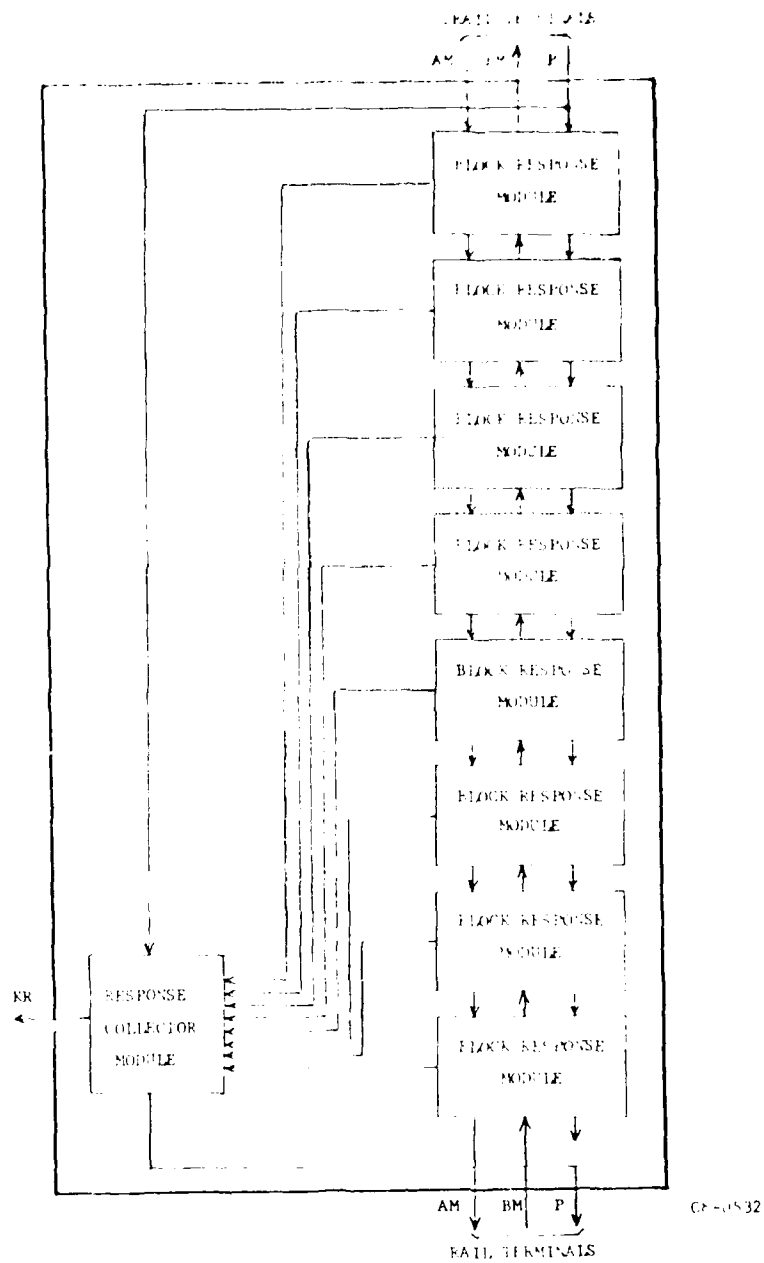
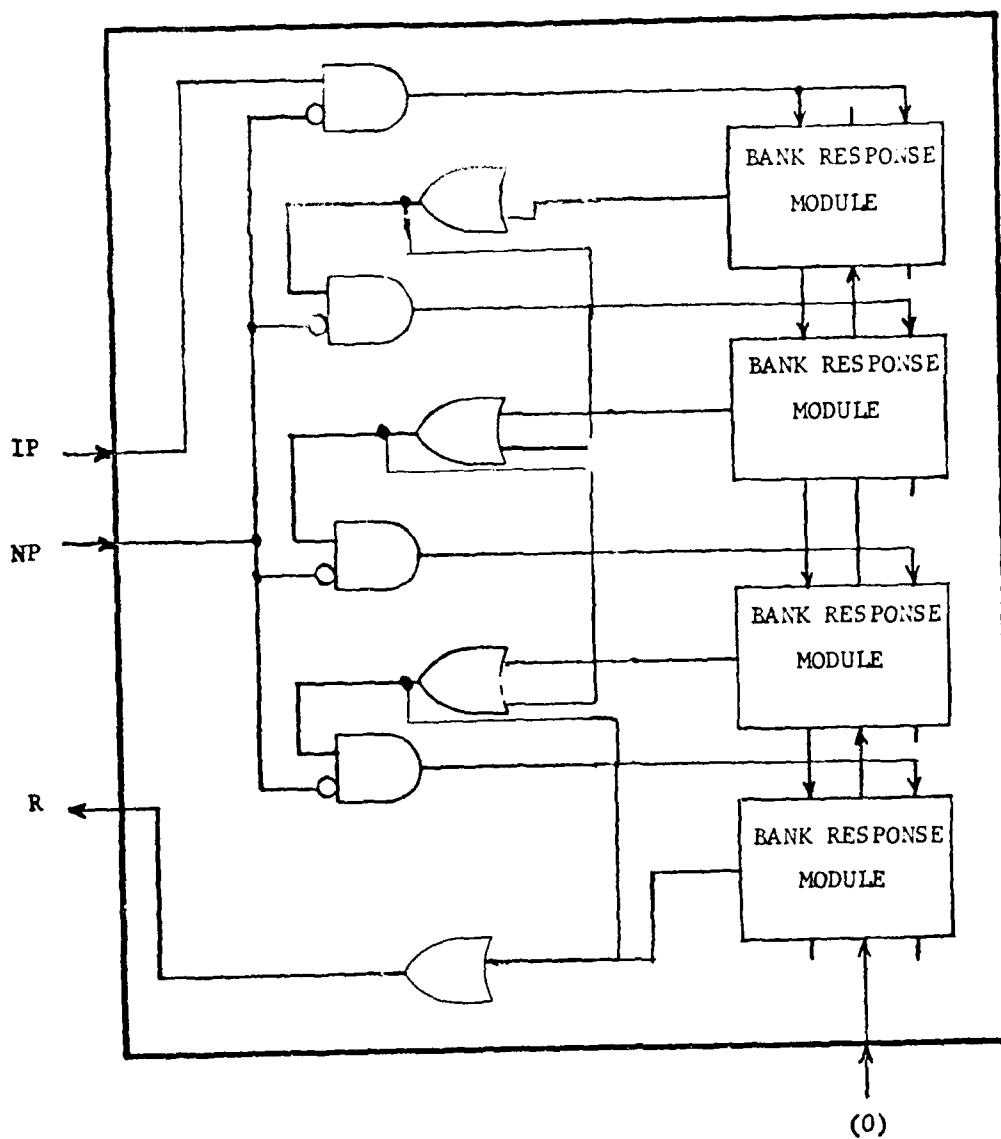


Figure 3-14. Bank Response Module



C8-0533

Figure 3-15. Memory Response Module

3.5.3 The Controller

A block diagram showing the functional organization of the Controller is shown in Figure 3-16.

Interface Connections

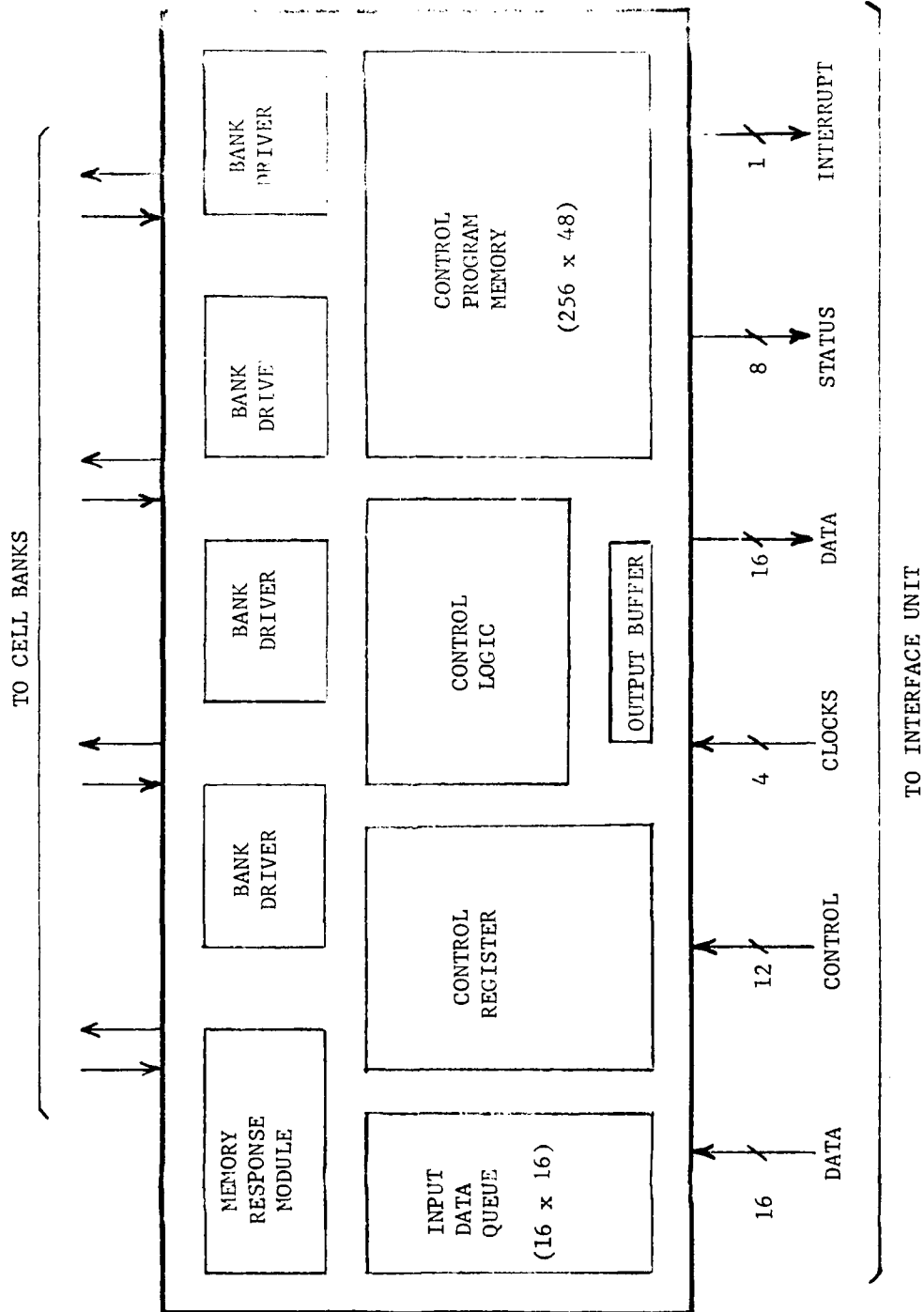
Figure 3-17 shows some of the Controller registers and how they are connected to the Interface Unit. Input data and search requests are received by the Controller in a queue or FIFO having a capacity for 16 16-bit quantities. The input clock causes the value on the data input lines to be placed at the end of the queue if the queue is not full. The control clock causes the value on the control input lines to be loaded into a control register, CTL. The contents of CTL are used to determine the mode in which the Controller operates as described in the next section. The control information also selects the status condition to be used to signal an interrupt request.

The output clock informs the Controller that the contents of the data output buffer (BUF) have been accepted by the host processor. The output clock also clears an "output ready" status bit in the Controller.

The status of the Associative Unit is reflected in the contents of a status register, STAT. Represented status conditions are specified in Table 3-9. Any one of the status bits can be selected, as specified through CTL, to serve as an interrupt request signal.

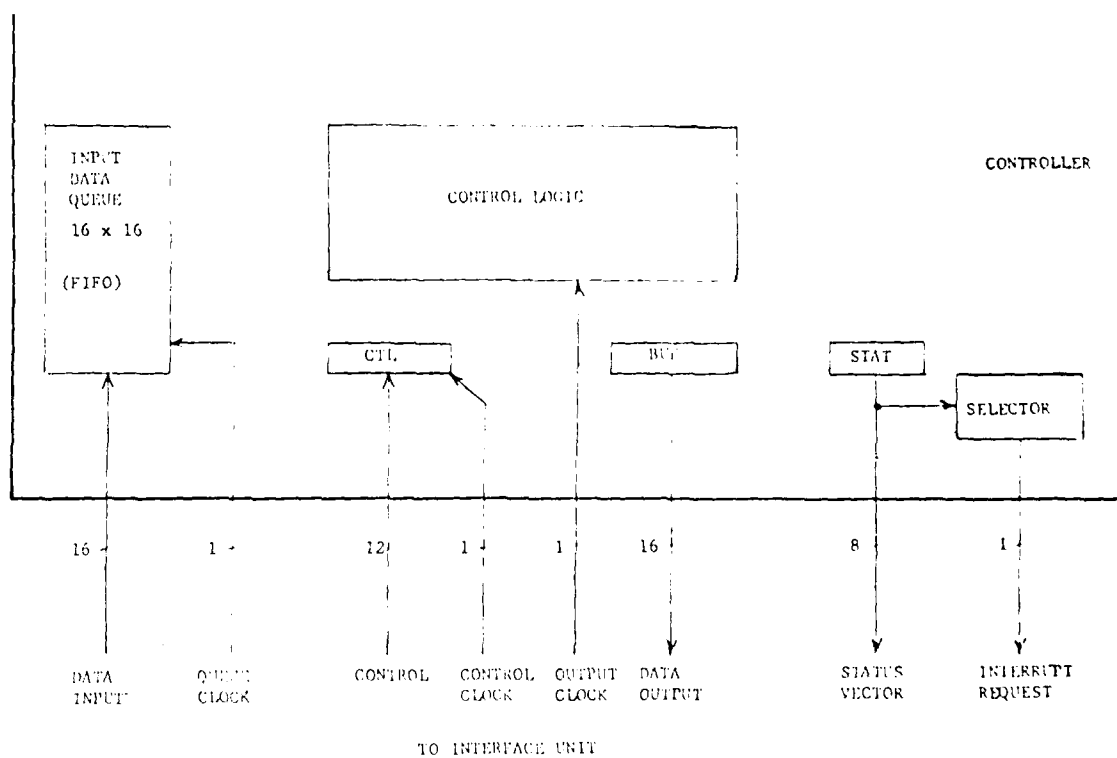
Operational Modes

The Controller has four operational modes as listed in Table 3-10. Switching between modes is governed by the host processor through a field in the control register, CTL. A transition from the Operate Mode to the Wait Mode can, however, be caused by the Controller itself.



C8-0534

Figure 3-16. Controller Organization



CF-0535

Figure 3-17. Controller-Interface Connections

TABLE 3-9. STATUS CONDITIONS

Input Queue Full
Input Queue Empty
Output Ready
Response
Wait Mode
Counter A Zero
Counter B Zero

CR-0536

TABLE 3-10. OPERATIONAL MODES

WAIT	- The AU is quiescent.
OPERATE	- The AU is under control of its own program.
INTERROGATE	- The AU Controller Registers and Program Memory can be interrogated by the host processor.
REPROGRAM	- The AU Program Memory can be overlaid by the host processor.

C8-0537

In the Wait Mode, the AU is essentially in a halt state. When the mode is changed to the Operate Mode by the host processor, the first quantity placed in the Input Data Queue (FIFO) is interpreted to be the address of a program in the Program Memory, and is loaded into the Program Memory Address Register (PAD). Thereafter, the AU is under control of its own program until the mode is respecified by the host through the control port, or the program returns the Controller to the Wait Mode. Once the program memory address register is loaded on entering the Operate Mode, the subsequent quantities may be interpreted by the Controller as either data or further specifications on the operations to be performed.

When the Interrogate Mode is entered, the contents of various registers of the Controller and the contents of the Program Memory are placed in the output buffer register (BUF) 16 bits at a time. The sequence of interrogating the Controller is illustrated in Table 3-11. The interrogation is progressed each time the Output Clock is pulsed, and terminates when another mode is specified by the host. The Controller registers are listed in Table 3-12.

In the Reprogram Mode, the program memory can be overlaid by the host processor through the Input Data Queue (FIFO). On first entering this mode, the Input Data Queue is cleared. Following this, the first entry in the queue is loaded into the Program Memory Address Register (PAD) to specify the first word in the memory which will receive new program information. Subsequent information from the queue is loaded into sequential locations in the program memory. A reprogramming example starting at memory location I is shown in Table 3-13. The Controller will remain in this mode until another mode is specified.

Controller Register Operations

Each of the primary registers of the Controller is capable of a set of register transfer operations as defined in Table 3-14. The conditional loading of the program memory address register is used for branching. A field of the program memory word can be used as a branch condition. An instruction can be repeated until the specified condition occurs by having

TABLE 3-11. INTERROGATION SEQUENCE

SEQUENCE NUMBER	INFORMATION SOURCE
1	Program Memory Address Register PAD
2	Cell Memories Address Register and Status Register CAR, STAT
3	Count Registers CTA, CTB
4	Memory Address 0, Left MP[0; 0:15]
5	Memory Address 0, Center MP[0;16:31]
6	Memory Address 0, Right MP[0;32:47]
7	Memory Address 1, Left MP[1; 0:15]
:	:

C8-0538

TABLE 3-12. CONTROLLER REGISTERS

<u>NAME</u>	<u>LENGTH</u>	<u>USE</u>
PAD	8	Program Memory Address
RAR	8	Cell Memory Address Broadcast to All Cells
STAT	8	Controller Status Conditions
CTA	8	Counter Used by Programs
CTB	8	Counters Used by Programs
CTL	12	Control Input From Host Processor
BUF	16	Data Output Buffer Register

C8-0539

TABLE 3-13. REPROGRAMMING SEQUENCE

Clear Input Data Queue		
Load Program Memory Address with I	PAD	I
Load Memory Address I, Left	MP	[I; 0:15]
Load Memory Address I, Center	MP	[I; 16:31]
Load Memory Address I, Right	MP	[I; 32:47]
Load Memory Address I+1, Left	MP	[I+1; 0:15]
.		
.		
.		

C8-0540

TABLE 3-14. CONTROLLER REGISTER OPERATIONS

PAD	<p>Program Memory Address Register</p> <p>Hold on condition false.</p> <p>Load from Program Memory Data Field on condition TRUE.</p> <p>Load from Program Memory Data Field on condition FALSE.</p> <p>Increment</p> <p>*Clear</p> <p>*Load from Input Data Queue</p>
RAR	<p>Cell Memory Address Register</p> <p>Hold</p> <p>Load from Counter A</p> <p>Load from Input Data Queue</p> <p>Increment</p> <p>Decrement</p> <p>Clear</p>
CTA	<p>Counter A</p> <p>Hold</p> <p>Load from Program Memory Data Field</p> <p>Load from Input Data Queue</p> <p>Decrement</p> <p>*Clear</p>
CTB	<p>Counter B</p> <p>Same as Counter A</p>
BUF	<p>Output Buffer Register</p> <p>Hold</p> <p>Load first 4 bits from RRB bus</p> <p>Load next 4 bits from RRB bus</p> <p>Clear</p>

C8-0541

TABLE 3-14. CONTROLLER REGISTER OPERATIONS (CONT'D)

BUF	*Load from Pad
	*Load from RAR and STAT
	*Load from CTA and CTB
	*Load from Left Program Memory
	*Load from Center Program Memory
	*Load from Right Program Memory
	(* supports Interrogate and Reprogram Modes, not available to programmer.)

C8-0541A

the address register hold its contents as long as the condition is false. Alternatively, a conditional jump can be programmed by having the address register loaded from a field in the program memory if the specified condition is met.

Bank Drivers

The data and control information is transmitted from the Controller to the banks of Associative Processing Cells through a set of Bank Drivers. These drivers are identical except for the way a 4-bit datum is obtained for the DCB bus of each bank.

The 16-bit quantity on the front of the Input Data Queue can be broadcast to all cells in 4-bit segments from right to left in a series of AU cycles. In this case, the DCB input to every cell of the Associative Unit is the same in a given cycle. Alternatively, the DCB bus for Cell Bank 0 can be driven with the first (bits 0-3) 4-bit segment from the front of the queue, and simultaneously, Banks 1, 2, and 3 are driven with the second, third, and fourth segments, respectively.

The Bank Drivers also include receivers for the RRB bus of each bank.

Program Memory Instruction Fields

Each 48-bit word of the Program Memory can be interpreted as a horizontal microinstruction. Only one of the fields has two uses. This field can be used to specify a control vector to be broadcast to all cells, or can be used for data such as a branch address or an initial value for control registers. The uses of the various fields are listed in Table 3-15.

AD-A082 324

CONTROL DATA CORP MINNEAPOLIS MINN

F/6 9/2

APPLICATIONS OF A RECONFIGURABLE ARRAY OF FLEXIBLE PROCESSORS I--ETC(U)

DEC 79 W R CYRE

F30602-78-C-0065

UNCLASSIFIED

RADC-TR-79-313

ML

2 of 2

20-1-14



TABLE 3-15. PROGRAM MEMORY INSTRUCTION FIELDS

Bits	Functions
16	Cell Control Vector or Data
1	Specifies Data Field
3	PAD operation specification
3	RAR operation specification
3	CTA operation specification
3	CTB operation specification
2	BUF operation specification
1	Remove front of FIFO
1	Set output ready condition
1	Enter Wait Mode
1	Select DCB source and P-Rail Bank Inhibit
1	P-Rail initial value
3	Branch Condition Select
3	Cell Clocks
<hr/> 42	

C8-0542

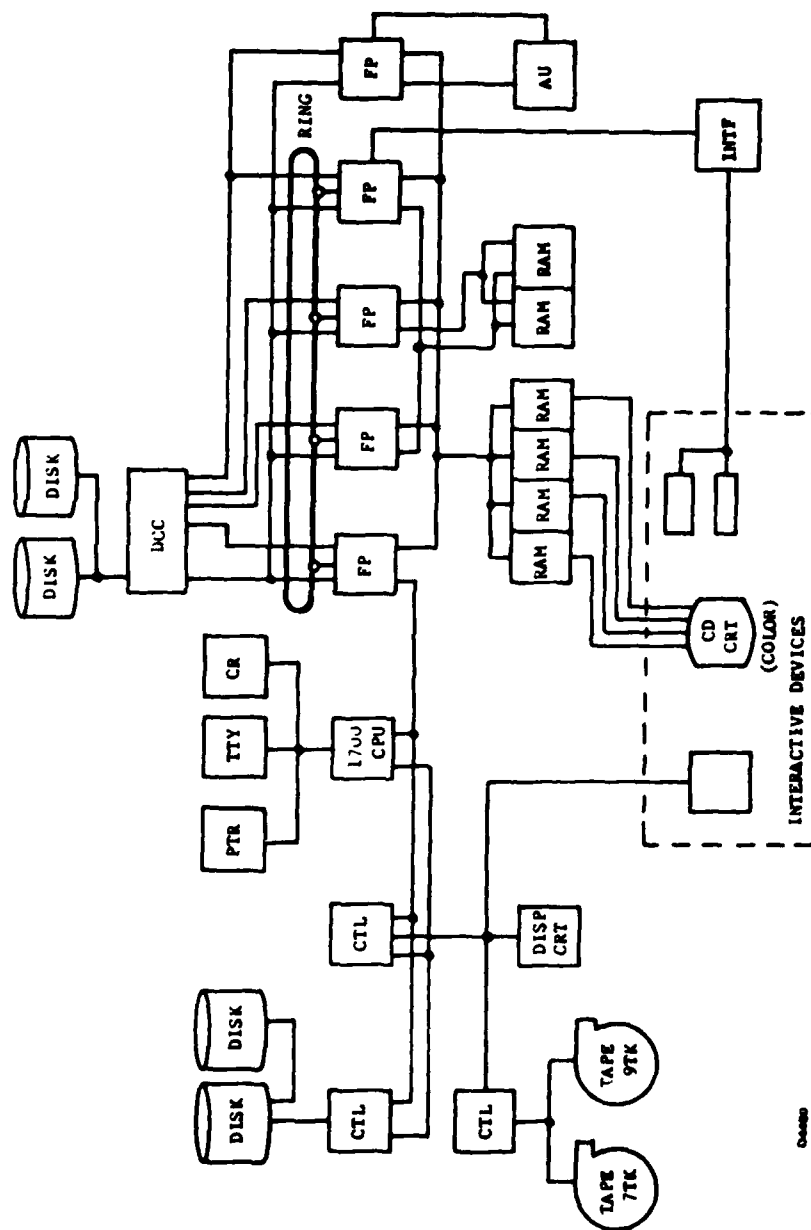
4.0 A DEMONSTRATION DOCUMENT RETRIEVAL SYSTEM

This section describes a demonstration document retrieval system developed at Control Data to evaluate the application of a Reconfigurable Array of Flexible Processors to document retrieval for assessment of foreign technology. The hardware support for the demonstration system was a Laboratory Array of Flexible Processors to which the Exploratory Development Model Associative Unit was attached. The hardware configuration of the Laboratory Array is shown in Figure 4-1.

4.1 GENERAL DESCRIPTION

The basic concept on which the demonstration system was built employed the model of Section 2.2 and is illustrated in Figure 4-2. As shown, the system concept has six functional elements. The allocation of the Laboratory Array hardware to these functions is described in Figure 4-3. The user or intelligence analyst interacts with the Command Entry and Display Elements, which consists of a character-oriented CRT display and keyboard (DISP CRT). The second element of the concept (Command Analysis and Display Preparation) analyzes the user input commands, breaking them down into lists of simpler functions, and formats the results of a search for display. The Command Analysis and Display Preparation (CADP) function is supported by the CDC 1700 general purpose computer of the Laboratory Array as indicated in Figure 4-3. The 1700 computer also delivers copies of the input commands and output displays with other intermediate data to a line printer (PTR) for performance analysis.

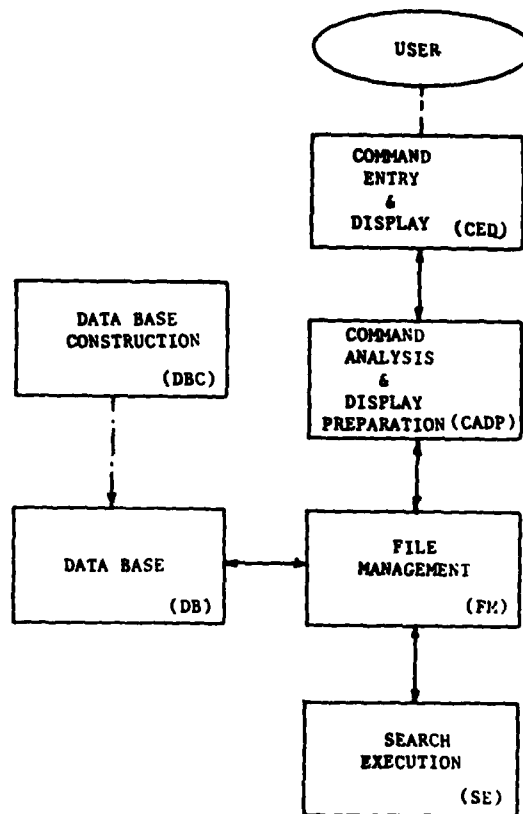
The File Management (FM) functional element maintains the data base files and transfers them to the Search Execution (SE) element or to the display as required. Both the File Management and Data Base (DB) elements are supported by the Reconfigurable Array of Flexible Processors in the Laboratory Array. The Flexible Processors (FP) support the transfer of files, while the data base is stored in the disk and RAM memories. During



04-400

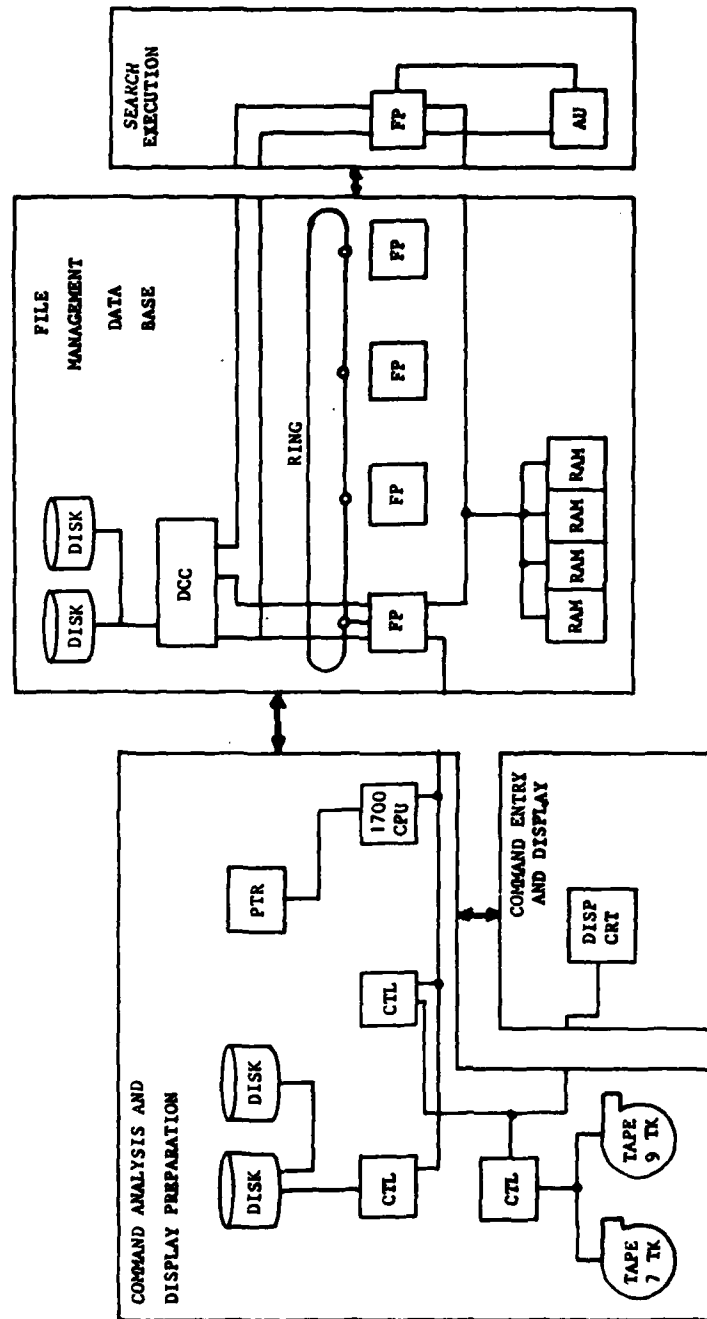
C9-1319

Figure 4-1. The Laboratory Array



C9-1320

Figure 4-2. Demonstration System Concept



C9-1321

Figure 4-3. Functional Allocation of the Laboratory Array

operation, the SEGMENT TABLE, DICTIONARY, and OCCURRENCE files of the Experimental SA Data Base are stored in the RAM memory for rapid access, while the DOCUMENT file resides in the disk units connected with the Data Channel Controller (DCC). With a larger data base, all data base files would reside in disk storage.

The Search Execution element, which performs the actual search operations on occurrence lists, is supported by a Flexible Processor and the Exploratory Development Model Associative Unit (AU).

One element, the Data Base Construction Element (DBC), is not supported by the Laboratory Array. The data base is constructed off-line by FORTRAN software running on a CDC 6400 general-purpose computer.

4.2 DEMONSTRATION CAPABILITIES

The demonstration system allows four basic types of commands for experiments in document retrieval. These commands initiate searches, display of documents or occurrence lists, and display of the history of searching for a terminal session.

4.2.1 Search Commands

A search command consists of an identifier and a search expression. In the demonstration system, only binary search operations for two terms are allowed. As described shortly, highly complex search expressions can be synthesized by sequences of allowed commands. A search term can be any alphanumeric string of up to 16 characters with no embedded blanks, or can be a search command identifier.

Four search operators have been implemented, and they are OR, AND, NOT, and ADJ (adjacent). The OR operation produces a list of document numbers, word number pairs. If one term of the search expression has no occurrences (is not in the dictionary), then the occurrence list of the other term is returned as a result. When both terms have nonvacuous

occurrence lists, the list for the righthand term is loaded in the Associative Unit (AU) and each document number of the occurrence list for the left term is compared with the document numbers in the AU. Where no match on document numbers occurs, the document number, word number pair from the left term's list, is added to a result list. After all entries in the left term's list have been examined, the occurrence list for the righthand term is appended to the partial result list to form the final result list. Since the result list has the properties of an occurrence list, it can be used later as an operand for a search. The NOT search operation is performed in the same manner as the OR operation, except the occurrence list for the right term is not included in the result. Examples of the result lists for (CAN or BE) and (CAN not BE) appear in Table 4-1.

The AND and ADJ search operations are processed somewhat differently. Again the right term list is loaded into the Associative Unit. For the AND operation, each occurrence for the left term is compared with the Associative Unit contents for matches on document numbers. When a document number match occurs, the occurrence from the right term's list is added to the result list. With the ADJ operation, one is added to the word number part of each occurrence of the left term before comparison. Both the document number and word numbers are compared for a match, and when a match occurs, the entry from the right term's list is added to the result list.

To illustrate this discussion two examples of searches from a demonstration session are reproduced in Table 4-2. In these examples the user was searching with the expression ((HORMONE or HORMONES) and BRAIN), by decomposing it into two binary operations. As indicated, the result list from one search can be used as an operand in a later command. Thus, searches of any complexity can be performed with the demonstration system. In addition to giving the occurrence list lengths of the operands and the result, the system returns an indication of the times required to perform the search. The AU LOAD time is the time required to load the Associative Unit with the occurrence list for the righthand term of the search expression. The AU SEARCH time shows how long it took to compare all entries in the left term's occurrence list.

TABLE 4-1. RESULT LIST EXAMPLES

LEFT TERM		RIGHT TERM		RESULT LISTS							
CAN		BE		CAN or BE		CAN not BE		CAN and BE		CAN adj BE	
39 Occurrences		44 Occurrences		66 Occurrences		22 Occurrences		19 Occurrences		10 Occurrences	
DN	WN	DN	WN	DN	WN	DN	WN	DN	WN	DN	WN
1	145	2	144	1	145	1	145	12	152	12	152
5	153	7	136	1	153	1	153	23	154	23	154
12	151	11	159	17	132	17	132	30	146	33	132
17	132	12	152	26	149	26	149	33	132	35	147
23	153	20	156	34	130	34	130	35	135	46	160
26	149	23	154	41	132	41	132	35	147	50	140
30	144	25	146	45	138	45	138	46	160	113	137
33	131	30	146	48	156	48	156	50	140	134	142
34	130	31	135	52	133	52	133	65	141	137	146
35	146	33	132	57	143	57	143	78	152	154	134
41	132	35	135	62	137	62	137	97	148		
45	138	35	147	69	149	69	149	101	24		
46	159	38	138	75	132	75	132	113	137		
48	156	38	159	90	138	90	138	124	148		
50	139	40	144	102	142	102	142	124	154		
52	133	43	154	102	153	102	153	134	142		
57	143	46	160	115	156	115	156	137	147		
62	137	50	140	132	136	132	136	154	134		
65	139	64	151	148	131	148	131	176	144		
69	149	65	141	150	153	150	153				
75	132	71	147	153	132	172	144				
76	151	78	152	172	144						
90	138	84	132	2	144						
97	146	84	155	7	136						
101	39	86	150	11	159						
102	142	87	155	12	152						
102	153	93	135	20	156						
113	136	97	148	23	154						
115	156	101	24	25	146						
124	153	113	137	30	146						
132	136	116	152	31	135						
134	141	123	158	33	132						
137	146	124	148	35	135						
148	131	124	154	35	135						
150	153	129	150	38	138						
153	132	129	157	38	159						
154	133	134	142	40	144						
172	144	137	147	43	154						
176	133	140	159	46	160						
		147	149	50	140						
		154	134	64	151						
		161	144	65	141						
		176	143	71	147						
		188	144	78	152						
				-	-						
				-	-						
				188	144						

TABLE 4-2. TWO SEARCH EXAMPLES

@c = hormone or hormones

@C=HORMONE .OR. HORMONES

NUMBER OF OCCURRENCES-A= / B= 5 RESULT= 5

AU LOAD 45, AU SEARCH= 2 (IN MICROSECONDS)

@d = @c and brain

@D=@C AND. BRAIN

NUMBER OF OCCURRENCES-A= 5 B= 8 RESULT= 2

AU LOAD= 42, AU SEARCH= 11 (IN MICROSECONDS)

NOTE: User command - lower case

System response - upper case

C9-1318

4.2.2 Read Commands

The function of a search command is to cause a search operation to be performed on the specified terms. A search command form called the read command allows the user to read and display the occurrence list for any term or search result. The displays for this command on the result of the examples of Table 4-2 and for a term are reproduced in Table 4-3. For the artificial term @d, both occurrences are in document number 50, entitled "Interactions Between Hormones and Nerve Tissue." The "SECTION S" indicates that the word brain is found in the summary section of the document, and the quantities in parentheses are the document number, word number pairs as they are represented in the data base. Note that the SECTION information is encoded into the word number representation of an occurrence. The second example of Table 4-3 shows the display for a read command with respect to the term "BRAIN."

4.2.3 Document Display Commands

A third command form provided in the demonstration document retrieval system permits the user to display the texts of documents by specifying the document numbers. An example for this command appears as Table 4-4. Note that the two occurrences in the result for the example of Table 4-2 are due to the two occurrences of "BRAIN," though "hormones" has three occurrences.

4.2.4 Search History Commands

The final command form permits the user to display a summary of the history of a search session. This history of search commands is useful in composing complex search expressions. An example is given in Table 4-5.

4.3 DEMONSTRATION SYSTEM PERFORMANCE

As a basis for evaluating the performance of the Associative Unit in executing search operations, over 250 searches were performed. Two performance measures were selected: the time to load the Associative Unit

TABLE 4-3. READ EXAMPLES

read @d

THE TERM- @D HAS 2 OCCURRENCES

DOCUMENT 50, SECTION S, WORD 19 (50 147)

DOCUMENT 50, SECTION S, WORD 31 (50 159)

read brain

THE TERM- BRAIN HAS 8 OCCURRENCES

DOCUMENT 6, SECTION S, WORD 7 (6 135)

DOCUMENT 50, SECTION S, WORD 19 (50 147)

DOCUMENT 50, SECTION S, WORD 31 (50 159)

DOCUMENT 57, SECTION S, WORD 10 (57 138)

DOCUMENT 88, SECTION T, WORD 8 (88 16)

DOCUMENT 88, SECTION S, WORD 10 (88 138)

DOCUMENT 141, SECTION S, WORD 31 (141 159)

DOCUMENT 158, SECTION T, WORD 5 (158 13)

C9-1315

TABLE 4-4. DOCUMENT DISPLAY EXAMPLE

doc 50

50 A 7607NCEWEN

50 T INTERACTIONS BETWEEN HORMONES AND NERVE TISSUE

50 S STEROID HORMONES SECRETED BY THE GONADS AND THE ADRENAL CORTEX CAN BE

50 S TRACED

50 S TO TARGET CELLS IN THE BRAIN. IN THE NEWBORN ANIMAL THE SEX HORMONES HELP

50 S TO LAY DOWN BRAIN CIRCUITS THAT CONTROL LATER BEHAVIOR

C9-1316

TABLE 4-5. SEARCH HISTORY EXAMPLE

Jh1	
@A=THEORIES	.OR. THERLBY
@B=TIN	.OR. @A
@C=THINK	.OR. @B
@D=BRAIN	AND. CELLS
@E=OTHER	ADJ. ACT
@F=NEW	NOT. ANY

C9-1317

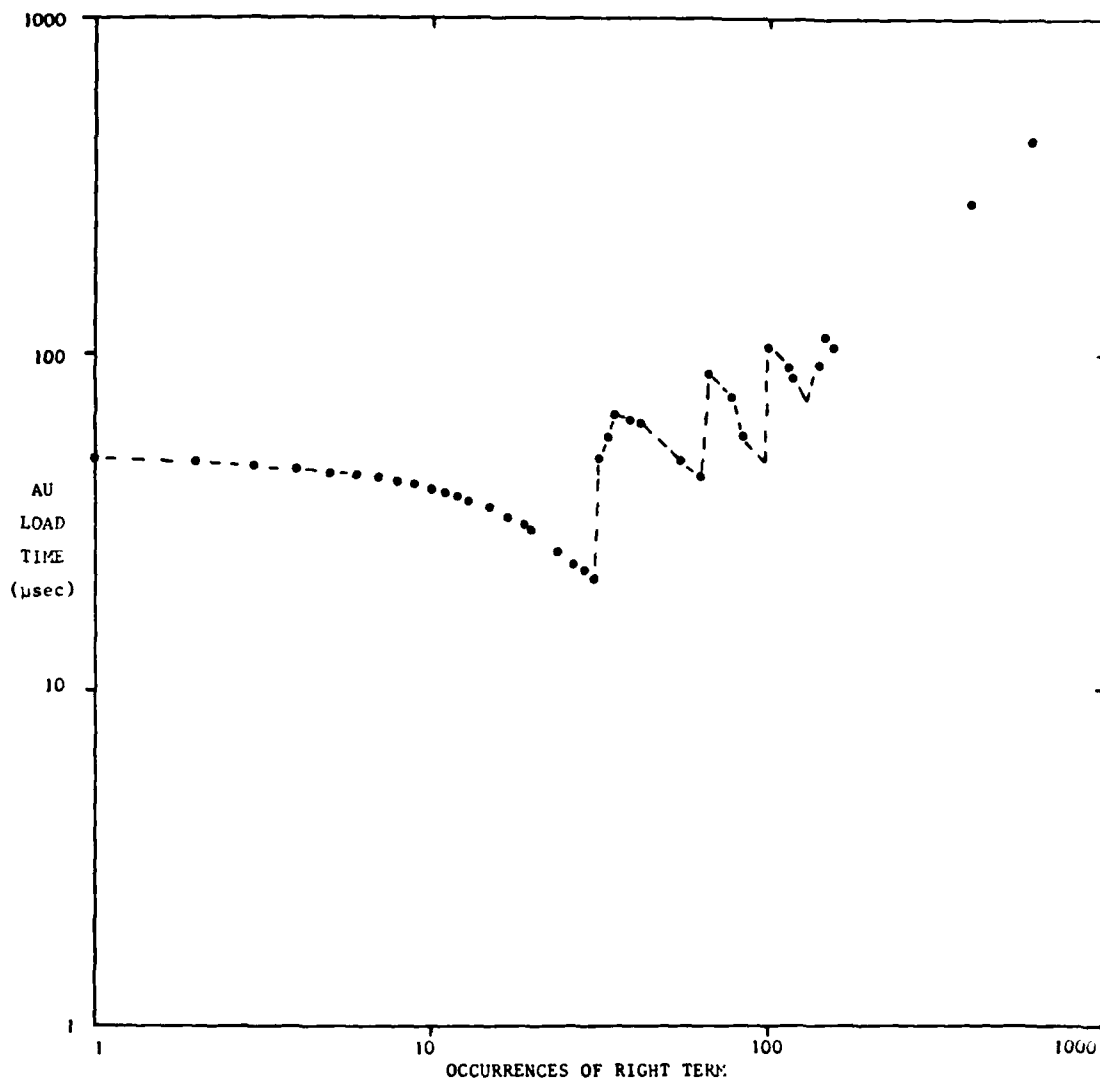
with the occurrence list of the righthand search term, and the time to compare each entry of the occurrence list of the lefthand term. These performance measurements are plotted in Figures 4-4 through 4-9.

The Associative Unit loading time for the demonstration system is plotted in Figure 4-4 as a function of the number of occurrences for the righthand search term. A broken curve connects the data for low numbers of occurrences to clarify the relationship. This peculiar behavior is due to the Flexible Processor routine which zero-fills an occurrence list to a multiple of 32. This zero-filled routine used in the demonstration was slower than the loading routine. In operational practice, the zero-fill would be achieved by preclearing the associative memory. This would require only a couple of microseconds per column. For long occurrence lists, the load rate is 675 nanoseconds per occurrence. For the occurrence lists found to occur with the FTD searches, an average list of 2905 occurrences could be loaded in about two milliseconds if 16-bit document number, word number pairs were used. Since the occurrence list entries would need to be on the order of 48 bits, the average load time would be closer to 6 milliseconds.

The times required by the Associative Unit to compare the occurrences of the lefthand search terms with the Associative Unit contents are segregated by the type of operation in Figures 4-5 through 4-8. As can be seen in the figures, the search time is not strongly affected by the type of operation.

Figure 4-9 shows the effect of the number of occurrences for the righthand term on the Associative Unit search time with respect to the OR operation. The numbers next to the curves indicate the number of left term occurrences. These characteristics are approximated by Equation 4-1 below where T is the search time in microseconds, L_L is the number of occurrences for the lefthand term, and L_R is the number of occurrences for the righthand search term.

$$T = 0.3 \times L_L \times L_R^{0.6} \quad 4-1)$$



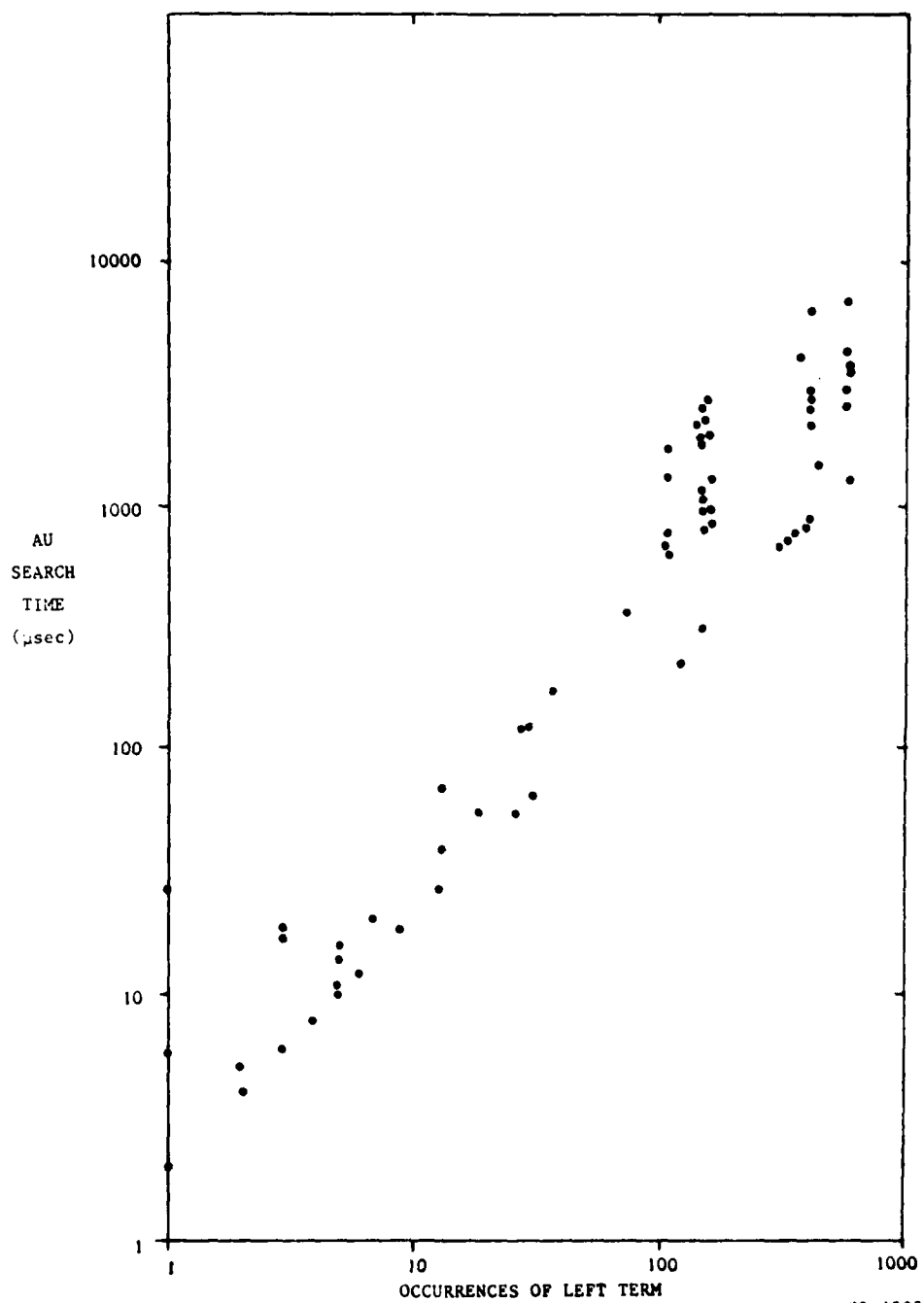


Figure 4-5. AU Search Performance (OR Operation)

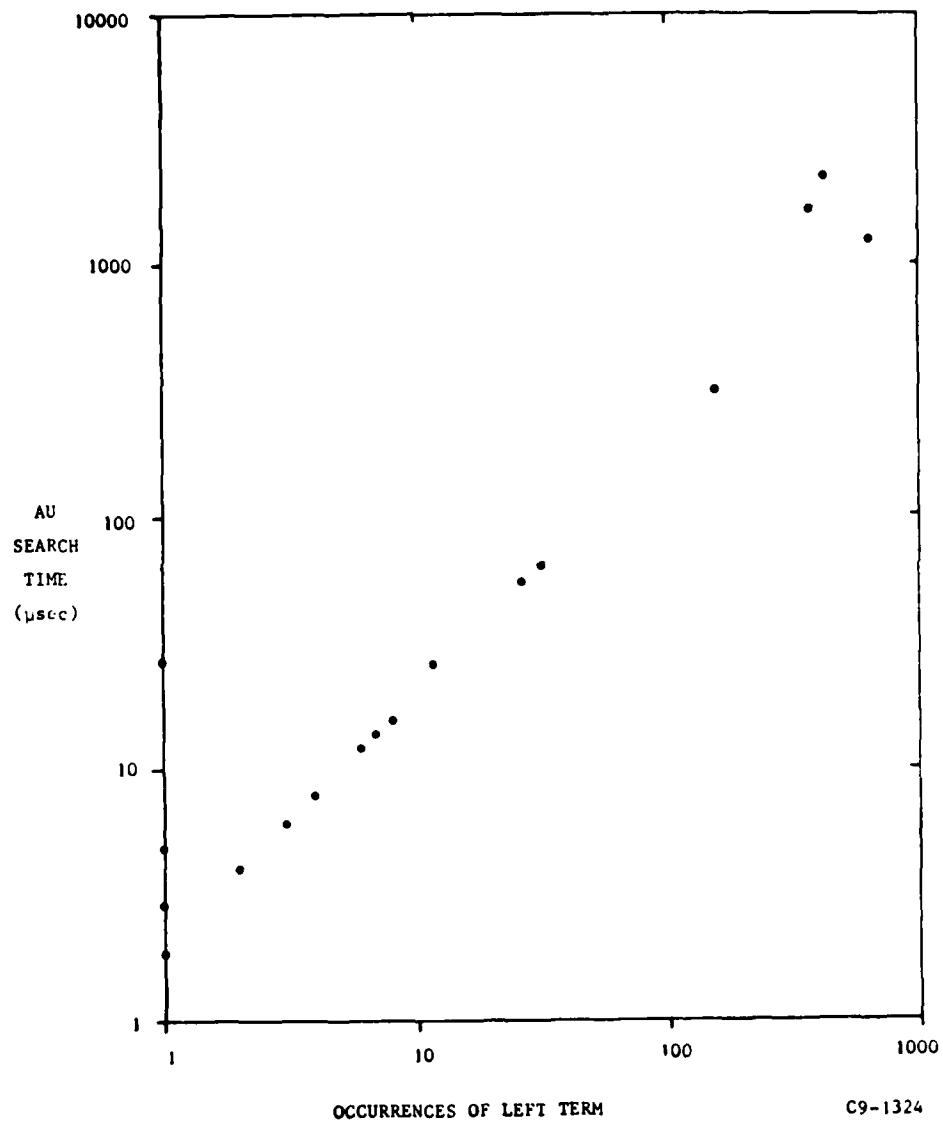
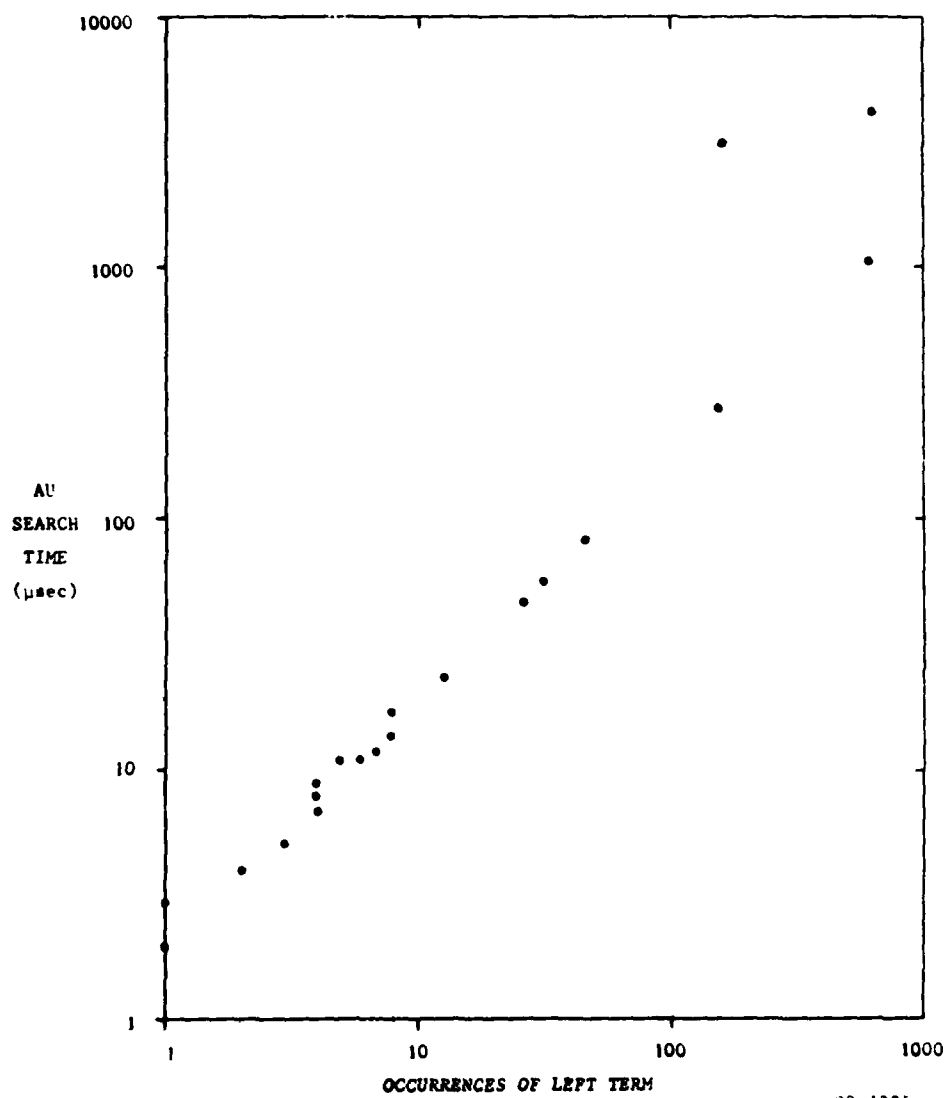
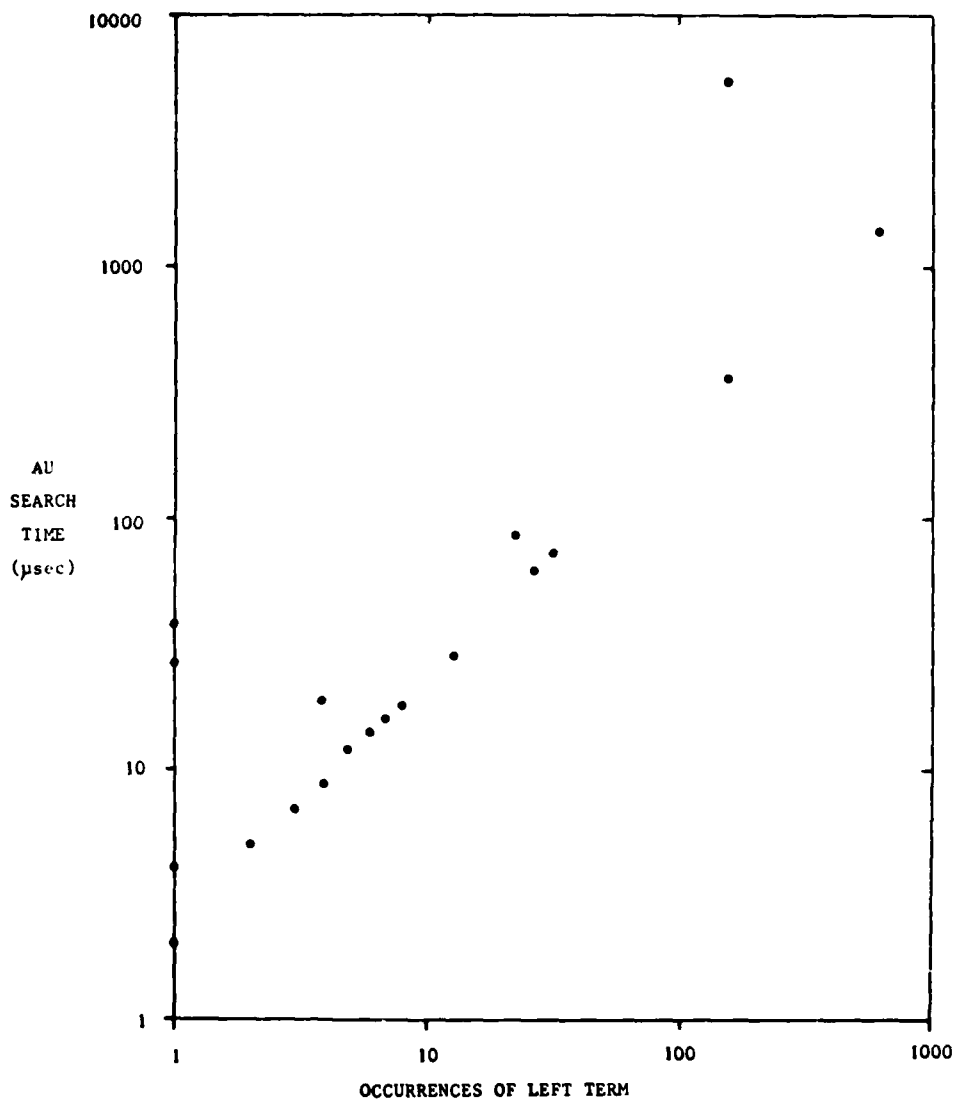


Figure 4-6. AU Search Performance (NOT Operation)



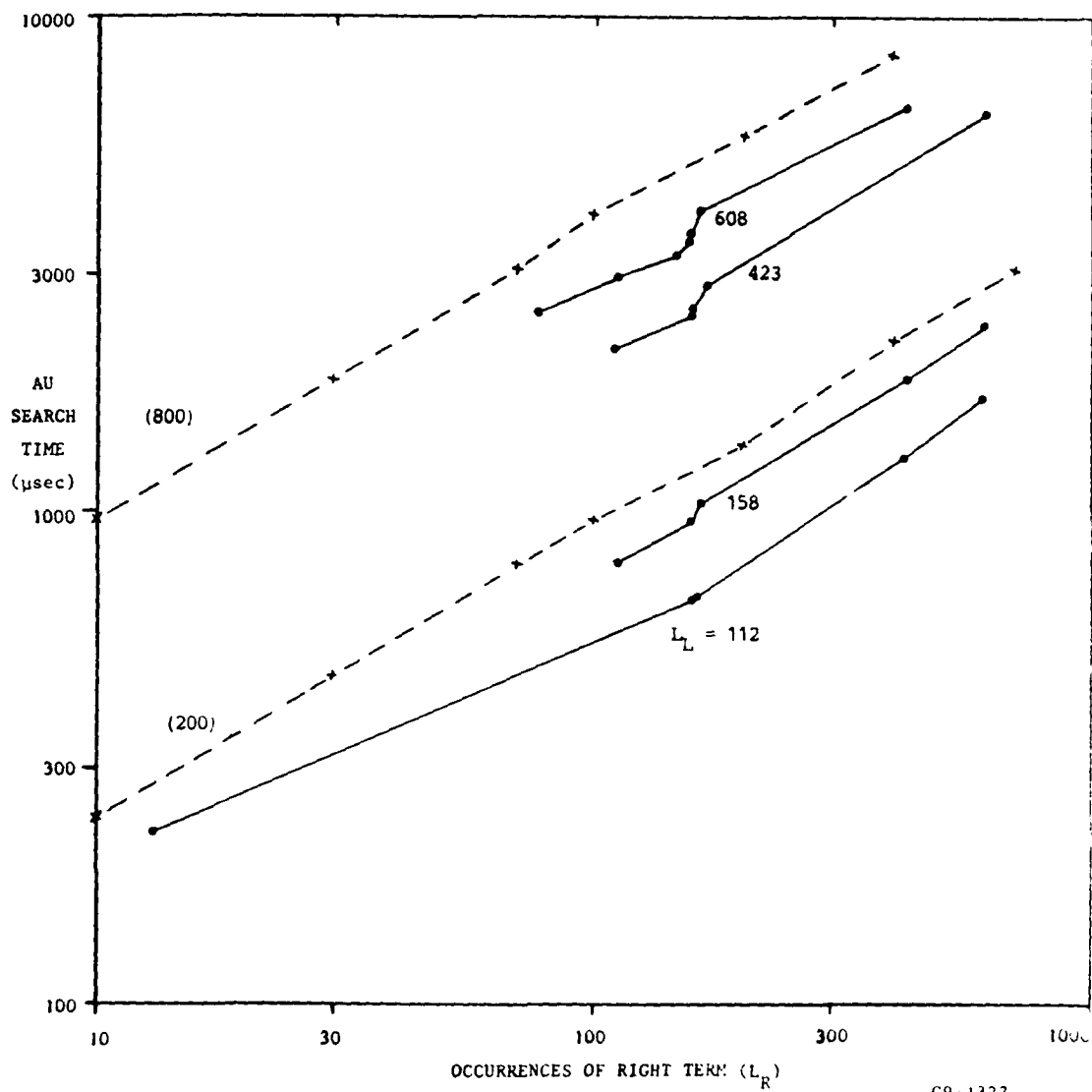
C9-1325

Figure 4-7. AU Search Performance (AND Operation)



C9-1326

Figure 4-8. AU Search Performance (ADJ Operation)



C9-1327

Figure 4-9. AU Search Performance (OR Operations)

TABLE 4-6. RECONFIGURABLE ARRAY PERFORMANCE COMPARISON

	32 - CELL ASSOCIATIVE UNIT	256 - CELL ASSOCIATIVE UNIT	
COMMAND ANALYSIS TIME	2	2	MSEC.
FILE RETRIEVAL TIME	68	68	MSEC.
AU LOAD TIME	6	6	MSEC.
AU SEARCH TIME	312	39	MSEC.
TOTAL	388	115	MSEC.
PERFORMANCE RATIO	66	223	

NOTES: AU search and load times assume operands of 2905 occurrences of 48 - bits each. Performance ratio based on existing system average search time of 25600 milliseconds (see Section 2.1.)

C9-1328

5.0 CONCLUSIONS

The results of this study program indicate considerable promise of dramatic performance improvement with a Reconfigurable Array in intelligence information retrieval. The array with an associative unit is well suited for the basic document retrieval tasks: file management, data base update, and search. In the search function, the reconfigurable array approach appears to be 200 times faster than the current system. Further study with a larger data base and an environment closer to operational use should, however, be considered to validate the projections of the study reported here.